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# **Exploring the translational potential of influencing macrophage polarization in the tumor microenvironment in small cell lung cancer with a Boolean control network model**

**PhD thesis**

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## **Abbreviations**

ADCC - Antibody-Dependent Cellular Cytotoxicity  
ADCP - Antibody-Dependent Cellular Phagocytosis  
ADMET - Absorption, Distribution, Metabolism, Excretion and Toxicity  
AKT - RAC-alpha serine/threonine-protein kinase  
ANXA1 - ANneXin A1  
APC - Antigen Presenting Cell  
API - Application Programming Interface  
ASCL1 - Achaete-SCute family bHLH transcription factor 1  
BCN - Boolean Control Network  
BCG - Bacille Calmette-Guérin  
B<sub>reg</sub> - regulatory B lymphocyte  
CCL - CC chemokine Ligand  
CD - Cluster of Differentiation  
CDH2 - CaDHerin 2  
CHGA - CHromoGranin A  
CHK1 - CHeckpoint Kinase 1  
CIITA - Class II major histocompatibility complex TransActivator  
CREBBP - cAMP Response Element-Binding protein Binding Protein  
CRT - ChemoRadioTherapy  
CTL - Cytotoxic T Lymphocyte (a.k.a. T<sub>c</sub>)  
CTLA-4 - Cytotoxic T Lymphocyte-Associated protein 4 (a.k.a. CD152)  
CXCL - CXC chemokine Ligand  
CXCR - CXC chemokine Receptor  
DC - Dendritic Cell  
dNLR - derived Neutrophil-to-Lymphocyte Ratio  
ECM - ExtraCellular Matrix  
EMA - European Medicines Agency  
EMT - Epithelial-Mesenchymal Transition  
EP300 - E1A binding Protein p300  
ES-SCLC - Extensive Stage Small Cell Lung Cancer  
FAERS - FDA Adverse Event Reporting System

FC - Fold Change  
FCGR1A - Fc Gamma Receptor 1A  
FDA - US Food and Drug Administration  
FFPE - Formalin-Fixed, Paraffin-Embedded  
FGF5 - Fibroblast Growth Factor 5  
FoxP3 - Forkhead box P3  
GM-CSF - Granulocyte-Macrophage Colony-Stimulating Factor (a.k.a. CSF2)  
GO - Gene Ontology  
GRN - Gene Regulatory Network  
GRP - Gastrin Releasing Peptide  
GZMA - GranZyMe A  
H&E - Hematoxylin and Eosin  
HCK - Hemopoietic Cell Kinase  
HLA - Human Leukocyte Antigen  
HPRD - Human Protein Reference Database  
HUGO - Human Genome Organization  
ICB - Immune Checkpoint Blockade  
ICI - Immune Checkpoint Inhibition (or Inhibitor)  
IDO - Indoleamine 2,3-DiOxygenase  
IFI27 - InterFeron alpha Inducible protein 27  
IFN - InterFeroN  
IgG - Immunoglobulin G  
IHC – ImmunoHistoChemistry  
IKK - Inhibitor of nuclear factor Kappa B Kinase  
IL - InterLeukin  
iNOS - inducible Nitric Oxide Synthase  
INS - INSulin  
IRAK - Interleukin-1 Receptor-Associated Kinase  
ISL1 - ISLet-1 (insulin gene enhancer protein)  
ITGA - InTeGrin subunit Alpha  
ITGB - InTeGrin subunit Beta  
JAK - JAnus Kinase

KEGG - Kyoto Encyclopedia of Genes and Genomes  
KRT5 - KeRaTin 5  
LAG-3 - Lymphocyte-Activation Gene 3 (a.k.a. CD223)  
LAP - Latency Associated Peptide  
LDH - Lactate DeHydrogenase  
LIPI - Lung Immune Prognostic Index  
LN - Lymph Node  
LS-SCLC - Limited Stage Small Cell Lung Cancer  
MAD - Median Absolute Deviation  
MAPK - Mitogen-Activated Protein Kinase  
MDSC - Myeloid-Derived Suppressor Cell  
MERTK – MER (Monocyte/Epithelium/Reproductive) proto-oncogene, Tyrosine Kinase  
MHC - Major Histocompatibility Complex  
MMP - Matrix MetalloProteinase  
MoA - Mechanism of Action  
MRC - Mannose Receptor C-type  
MYC – MyeloCytomatosis  
MyD88 - MYeloid Differentiation primary response 88  
NCAM1 - Neural Cell Adhesion Molecule 1  
NE - NeuroEndocrine  
NES - Normalized Enrichment Score  
NEUROD1 - NEUROgenic Differentiation 1  
NFAT - Nuclear Factor of Activated T-cells  
NFκB - Nuclear Factor kappa B  
NK - Natural Killer  
NKX2 - NK2 homeoboX  
NO - Nitric Oxide  
NSCLC - Non-Small Cell Lung Cancer  
ORR - Objective Response Rate  
OS - Overall Survival  
PADC - Pancreatic ADenoCarcinoma  
PAMP - Pathogen-Associated Molecular Pattern

PCI - Prophylactic Cranial Irradiation  
PD-L1 - Programmed Death Ligand 1 (a.k.a. CD274)  
PD-L2 - Programmed Death Ligand 2 (a.k.a. CD273)  
PFS - Progression-Free Survival  
pI - polarization index (see ch. 3.2.3.2.)  
PI3K - Phosphatidylinositol-4,5-bisphosphate 3-Kinase  
PIP<sub>3</sub> - Phosphatidylinositol (3,4,5)-trisphosphate  
PMID - PubMed Identifier  
POU2F3 - POU class 2 homeobox 3  
pp - percentage point  
PPI - Protein-Protein Interaction  
RB1 - Retinoblastoma protein 1  
REST - REpresentational State Transfer (architectural style of API)  
RNA – Ribonucleic Acid  
RNAseq - RNA sequencing  
ROI - Region Of Interest  
ROS - Reactive Oxygen Species  
RT - Radiation Therapy  
SABR - Stereotactic Ablative Radiotherapy  
SCLC - Small Cell Lung Cancer  
SEER - Surveillance, Epidemiology, and End Results program  
SIDER - Side Effect Resource  
SOX3 - SRY-box transcription factor 3  
Sp1 - Specificity Protein 1  
STAT - Signal Transducer and Activator of Transcription  
STK4 - Serine/Threonine-protein Kinase 4 (a.k.a. MST1)  
STRING - Search Tool for Recurring Instances of Neighbouring Genes  
synI - synergy index (see ch. 3.2.3.3.)  
SYP - SynaptoPhysin  
TAM - Tumor-Associated Macrophage  
TGF - Transforming Growth Factor  
TF - Transcription Factor



T<sub>h</sub> - helper T lymphocyte  
TIL - Tumor Infiltrating Lymphocyte  
TIME - Tumor Immune MicroEnvironment  
TLR - Toll-Like Receptor  
TMA - Tissue MicroArray  
TMB - Tumor Mutation Burden  
TME - Tumor MicroEnvironment  
TNBC - Triple-Negative Breast Cancer  
TNM - Tumor, lymph Nodes, Metastasis (classification system)  
TNF - Tumor Necrosis Factor  
TP - Tumor Protein (e.g., TP53)  
TRAF - TNF Receptor Associated Factor  
TRAIL - TNF-Related Apoptosis-Inducing Ligand  
T<sub>reg</sub> - regulatory T lymphocyte  
TSV - Tab Separated Values  
TTD - Therapeutic Target Database  
Tyk2 - TYrosine Kinase 2 (JAK family member)  
VEGF - Vascular Endothelial Growth Factor  
YAP1 - Yes1 Associated transcriptional regulator  
YBX3 - Y-BoX binding protein 3  
yr - year

# 1. Introduction

## 1.1. Small Cell Lung Cancer

### 1.1.1. Epidemiology

While there has been remarkable progress in the treatment of many cancers, lung cancer, especially of the small cell type (SCLC), remains a very present and widespread problem, with over 2 million new cases worldwide expected yearly [1]. Even though a decrease in the number of smokers resulted in a slight decrease in incidence (1.1%/yr in men and 2.6%/yr in women) observable since 2006, in 2023, lung cancer is still estimated to become the second most common type of cancer in the US (over 12% of all cases) [2]. It also has local importance: the 2018 GLOBOCAN survey has shown that Hungary has one of the highest (age-standardized) incidence rates of lung cancer in both men and women, exceeding the world average by a factor of 2.46 and 2.81, respectively [1]. The most important risk factor is smoking, with over 80% of cases of lung cancer directly attributed to smoking [2], and 97-98% of SCLC sufferers being smokers [3,4].

In addition to its high prevalence, the progress of therapeutic options is slow, and the prognosis of patients remains disheartening. From the 80s, for three decades we saw no major improvement in clinical practice and survival rates stagnated [5]. According to the data collected in the US by the Surveillance, Epidemiology, and End Results Program (SEER), the 5-year survival rate for SCLC patients showed no significant change between 2004 and 2018, except for cases where it was diagnosed in the localized stage (and only a 0.8 pp/yr average increase there), but these account for only 6% of cases [6]. An analysis linking the SEER data with the registry of the National Death Index has concluded that any drop in mortality due to SCLC that could be observed between 2001 and 2016 is only a result of changes in incidence rates [7].

With classic chemotherapy (carboplatin + etoposide, see ch. 1.1.3.), median overall survival (OS) is only 10.3 months, with median progression-free survival (PFS) at 4.3 months in extensive-stage SCLC [8]. The advent of immunotherapy has brought considerable advancement in the case of many cancer types, but if it holds any breakthroughs for SCLC, we have yet to uncover them. The addition of atezolizumab, an immunotherapeutic that has just recently been made part of standard care (see ch. 1.2.3.), improves the previous numbers statistically significantly, but to an extent that is only slightly relevant clinically (to 12.3 and 5.2 months, respectively) [8].

### *1.1.2. Biomarkers*

The mutations most often found in SCLC are those resulting in a loss of function of TP53 and RB1, two known tumor suppressor genes, directly or indirectly. These are present to the extent that some consider them obligatory. In addition, mutations in EP300, CREBBP, TP73, NOTCH1, -2 and -3 are nearly exclusive of each other and together cover approximately 60% of SCLC cases. Copy number amplification of MYC family genes is also frequent [9]. Unfortunately, loss of function mutations are hard to translate into a druggable target. Overexpression of cMYC has been associated with the effectiveness of CHK1 inhibition [10].

In addition to general markers, it was recognized as early as the 80s that there are subtypes of SCLC that show differential expression of several genes [11], among which the role of neuroendocrine (NE) genes has also been long since recognized [12]. However, this observation was mostly shelved for about 30 years, with only sporadic mention or usage in research, until with the advent of advanced sequencing techniques in the 2010s, the idea was finally revisited. There are two major approaches to subtype classification from this perspective. The first focuses on key transcription factors, mainly NEUROD1, ASCL1, POU2F3, and YAP1 [13–15]. The second utilizes a wider portion of the expression pattern and recognizes NE-high and NE-low clusters [16]. It has been shown that the two classifications are not independent, with NEUROD1 and ASCL1 associating with NE-high status [17]. We have followed a binary, NE-based classification in our studies.

The lung immune prognostic index (LIPI), calculated from serum lactate dehydrogenase (LDH) level and derived neutrophil-to-lymphocyte ratio (dNLR) has also been shown to have prognostic value regarding OS in all stages, and PFS in extensive SCLC [18]. A low NLR on its own also associates with longer OS [19]. The amount of necrosis in the tumor is associated with survival rate, with a high (10%+) ratio of necrosis providing a hazard ratio of 2.87 [20]. Tumor mutational burden (TMB) is also considered, mostly in relation to immunotherapies (see ch. 1.2.3.) but shows mixed results. A clinical trial of atezolizumab reported no predictive power [21]. A retrospective analysis of the KEYNOTE-158 study of pembrolizumab found an increase in objective response rate (ORR, 29% vs. 6%) in high-TMB patients [22].

### *1.1.3. Clinical practice*

While there is progress in research, many new findings have yet to be translated into the field of patient care: neither the official classification of lung carcinomas [23], nor recognized treatment options [24] take into account the heterogeneity within SCLC. Currently, the 8th version of the TNM system (Tumor, lymph Nodes, Metastasis) is used for staging SCLC. In addition, the categories of limited or extensive stage are also used to inform clinical decisions [24]. Limited stage (LS) SCLC is defined as lesions that can be encompassed within a reasonable radiation field and overlaps with clinical stages I-IIA (up to T2, N0, M0), and possibly IIB-IIIC (N1-3, M0), depending on the position of the affected lymph nodes. Less than 5% of diagnoses are made in the limited stage. This is due to the fact that LS-SCLC is most often asymptomatic and therefore detected late. SCLC also progresses very rapidly, so that the patient has a LS malignancy for only a relatively short time. Thus, systematic screening would be the main way to improve early detection rates. At present, however, these do not show considerable benefits. Even after CT screening of patients selected on the basis of risk factors, only 14% of patients with SCLC were detected in the limited stage [25]. Extensive stage (ES) SCLC is defined as lesions that extend beyond a reasonable radiation field, and thus can correspond to clinical stages IIB-IV and is definitively the diagnosis if distant metastases are present (M1).

While in select cases of LS-SCLC, lobectomy is considered as a treatment option, surgical resection is not supported as a general option [26,27]. For most patients, systemic therapy with concurrent radiotherapy (chemoradiotherapy, or CRT) remains the recommended care. For this a regimen of cisplatin and etoposide is the foremost option in LS-SCLC and can be considered for ES-SCLC too, though carboplatin-etoposide with atezolizumab or durvalumab is preferred in that case [24]. The clinical use of the combination of cisplatin and etoposide dates back to 1985 [28]. Cisplatin and carboplatin are platinum complexes with an antimetabolic effect, due to their ability to create DNA crosslinks, that differ mainly in their toxicity profile [29,30]. Etoposide is a topoisomerase II inhibitor that creates double-stranded DNA breaks [31,32]. Atezolizumab and durvalumab are immune checkpoint blockers (ICB) targeting PD-L1 and have only been part of standard care very recently (FDA approval came in 2019 and 2020, respectively [33]), supplementing platinum and etoposide combination chemotherapy (see ch. 1.2.3.). An early start of definitive thoracic radiotherapy (RT) is recommended, supported by

diagnostic and imaging techniques to better target the tumor and minimize the irradiation of other organs and thus the side effects. In inoperable cases a more focused and intense irradiation regimen (stereotactic ablative RT, a.k.a. SABR) can be utilized, targeting the primary tumor site or metastases [34]. Brain metastasis is a common complication and is frequently already present at the time of diagnosis. If it is not, prophylactic cranial irradiation (PCI) can be applied in LS-SCLC, with the aim of preventing brain metastases. Meta-analysis of data from the late 20th century has shown improvement in 3yr OS [35], but more recent studies have contested these conclusions [36], and alternatives are being explored due to the negative cognitive effects of brain irradiation. Official guidelines also call for caution in the application of PCI [24,37].

## **1.2. Tumor Immune Microenvironment**

When cells undergo a cancerous transformation, its effects are not limited to those cells themselves and results in a complex process that markedly changes their surroundings. The change in phenotype, including cell surface and secreted molecules, brings about the development of the so-called tumor microenvironment (TME) in the adjoining tissue. The TME is divided into the tumor nest, directly between the neoplastic cells, and the stroma around it, composed of non-cancerous cells (e.g., fibroblasts, mesenchymal cells) and the extracellular matrix (ECM) maintained by them. As the situation progresses, various immune cells might also infiltrate either or both areas. The number and composition of these cells is crucial to the development of the tumor, and thus this aspect of the TME is often referred to as the tumor immune microenvironment (TIME).

The importance of the tumor (immune) microenvironment is becoming ever clearer. Beyond (and in some sense before) therapeutic approaches, this also has considerable impact on the experimental investigation of cancer. The adequacy of laboratory cell lines used out of context is being questioned, with some studies showing that the environment exerts more influence on the cells than their tissue of origin [38]. There are some attempts at preserving or recreating the TME, like patient-derived organoids [39], but *in vitro* studies are still challenging.

In the following subchapters I will first give a brief overview of the humoral and cellular components of the TIME to showcase both the individual elements and their interconnectedness, then explore its translational relevance.

### 1.2.1. Cytokines

Various small molecule transmitters are present in the TME, mediating the interaction between the tumor and the immune system. As such, some are secreted by the tumor cells themselves, others in reaction to those by surrounding cells, as the microenvironment takes shape.

Cytokines produced by the tumor can cause major alterations to the function of immune cells. Many tumors interfere with the maturation of monocytic cells, among others by producing VEGF, IL-6 and M-CSF, leading to the creation of improperly developed myeloid cells that lack proper MHCII expression and antigen presentation function (myeloid-derived suppressor cells, MDSC) [40,41]. The high levels of adenosine and hypoxic conditions can push macrophages toward an M2-like polarization (see ch. 1.3.3.) [42], and lead DCs to expressing VEGF, IL-6, IL-8, IL-10, COX-2, TGF $\beta$  and IDO [43]. DCs co-cultured with NSCLC also exhibit lower levels of TNF $\alpha$  and IL-12, which together with TGF $\beta$  and IDO lead to a lower number of IFN $\gamma$ -producing Th1 cells, and an increase in immunosuppressive Treg cells in the TME [44,45].

In addition to the modulation of immune functions, cytokines can also affect tumor progression. In breast carcinoma, production of M-CSF has been shown to be necessary for metastasis, acting via the recruitment of macrophages [46]. In NSCLC, TGF $\beta$  is a major factor in triggering epithelial-mesenchymal transition (EMT), an early step in metastasis, with IL-6 enhancing its effects [47].

It is important to recognize that cytokine function is not necessarily absolute and can be heavily dependent on context [48]. CXCL10 has been shown to exhibit anti-angiogenic properties in melanoma [49], and to in addition inhibit metastasis in NSCLC [50], but has also been identified as a promoter of metastasis in colorectal cancer [51]. The role of TNF $\alpha$  in the TME is also not straightforward. As the name suggests, it was first described as a molecule capable of inducing tumor cell death [52], and it is still being explored as a potent co-factor in combination immunotherapy [53]. On the other hand, it can be secreted by tumor cells or induced by them in monocytes and has been shown to cause elevated PD-L1 expression in myeloid cells, hindering innate antitumor responses and immunotherapies [54]. It can also enhance TGF $\beta$ -mediated EMT [55], and TNF $\alpha^{-/-}$  mice were shown to be more resistant to skin tumors [56]. Even more concrete effects can be differentially modulated by the same cytokine. IL-10 has been shown to

both enhance CD8<sup>+</sup> T cell function [57], and to hinder it with the involvement of myeloid cells [58].

The above is only a fraction of all effects and connections established by cytokines in the TME, but even from this we can get a glimpse of the complexity of the system. Together with other clinical factors, like short half-life, narrow therapeutic window (i.e., timeframe in which application is effective), and adverse effects related to immunosuppression, this leads us to the conclusion that cytokine monotherapies in general are ineffective as a treatment option in (esp. advanced stage) cancer [59]. This is a trend in therapeutic intervention that our findings presented herein also reinforce (see ch. 4.3.2.).

### *1.2.2. Immune Cells*

Proper characterization of the immune cell content of the TME is crucial. Indeed, this information has been found to be better at predicting clinical outcomes than clinical staging (TNM) in certain cases [60]. The overall level of immune infiltration is an important factor and can be referenced in multiple ways. A TME with low infiltration is sometimes called cold or immune-desert, with high infiltration referred to as hot or immune-oasis. Additional categories are also described sometimes, like ones with lymphoid-like structures attached to the tumor site [61]. These have a crucial impact on the effectiveness of immunotherapies (see ch. 1.2.3.).

In addition to the amount of immune cells, the presence of the various types and subtypes of lymphocytes and myeloid cells modulates tumor progression. As a rough outline, properly activated T<sub>h</sub>1 cells, cytotoxic CD8<sup>+</sup> T cells (CTL), plasma cells and M1-like macrophages are able to target and eliminate tumor cells, while regulatory lymphocytes (T<sub>reg</sub>, B<sub>reg</sub>), MDSC and M2-like macrophage cells are immunosuppressive and thus can be tumorigenic. But as we will see in the following paragraphs, reality is more nuanced.

The helper subgroup of T lymphocytes (T<sub>h</sub>) are coordinators of the immune response, mainly by modulating the cytokine milieu. Their various classes have markedly different profiles. T<sub>h</sub>1 cells are induced by IFN $\gamma$  and IL-12, and produce IFN $\gamma$ , IL-2 and TNF $\beta$  [62]. T<sub>h</sub>2 cells develop as a response to IL-4, and express IL-4, IL-13, and IL-6 [63]. T<sub>h</sub>17 cells are induced by TGF $\beta$  and IL-6 [64], and secrete IL-17, GM-CSF, TNF $\alpha$  [65] and IL-22 [66].

CD8<sup>+</sup> T cells are often referred to as cytotoxic T lymphocytes (T<sub>c</sub>, CTL), and treated as effector cells capable of attacking tumor cells, associated with better prognosis [67]. Generation of cytotoxic CD8<sup>+</sup> cells is induced by IL-12 and IL-2, and repressed by IL-4 [68,69]. As they express and are repressed through CTLA-4 and PD-1, they are one of the main targets of ICB therapies [70–72]. However, care must be taken when evaluating this population in the TME. There are multiple subtypes of this group, and not all of them are tumor suppressive [73]. In addition, even the presence of tumor antigen-specific, IFN $\gamma$ -producing CD8<sup>+</sup> cells can be insufficient in preventing recurrence [74]. The ratio of CTLs specific to tumor antigens can also be as low as 10% [75,76].

Another subset of T lymphocytes is what is known as regulatory T cells (T<sub>reg</sub>). These are CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup> cells, usually associated with immune tolerance and suppression [77,78]. They are a part of the physiologic T cell repertoire but can also be induced in specific environments (like the TME) and can acquire non-suppressive functionality too (producing IFN $\gamma$  and IL-17) [79]. Induction involves TGF $\beta$  and IL-10 [80,81]. T<sub>reg</sub>-s execute their inhibitory function via multiple pathways. They are able to suppress DC activity through surface expression of the MHCII receptor LAG-3 [82], hinder CTL function by modulating IL-2 [83], show cytotoxic activity against effector cells via granzyme and perforin [84], and express immunosuppressive molecules like CTLA-4, TGF $\beta$  and IL-10 [85,86].

Various types of B lymphocytes can also be found in the TME and associated lymphoid tissues, with their presence having a differing impact on prognosis [87]. Specific antibodies can mark tumor cells and trigger attacks from other immune cells: antibody-dependent cellular cytotoxicity (ADCC), mainly from NK cells [88–90], and antibody-dependent cellular phagocytosis (ADCP), mainly by macrophages [91]. B-cells also have antibody-independent functions. Certain subsets are able to produce IFN $\gamma$  and IL-12, activating other immune cells, but also granzyme B and TRAIL, directly attacking tumor cells [92]. B lymphocytes can also stimulate T cells by acquiring a level of APC function [93], and via expression of the surface molecule CD27 [94]. On the other hand, a subset often termed regulatory B cells is present in multiple types of cancer and shows pro-tumorigenic functions, like promotion of T<sub>reg</sub> formation [95], for which a direct interaction with tumor cells (via CD40/CD154) may be responsible by induction of IL-10 and TGF $\beta$  expression [96].



Dendritic cells (DC) are myeloid cells with APC function that can derive from both bone marrow precursors and circulating monocytes [97]. Mature DCs are often found in the peritumoral or stroma areas and in interaction with lymphocytes. However, in different cases this has both been reported as a factor associated with longer survival [98], and impeding cytotoxic T cell function [99]. This discrepancy might be explained by the existence of a CD103<sup>+</sup> subgroup induced by GM-CSF that is able to stimulate CTLs, while other DCs cannot [100].

There is also a category of myeloid cells that seems to be activated but immature. Myeloid derived suppressor cells (MDSC) are CD33<sup>+</sup> cells that can present with polymorphonuclear or monocytic morphology [101], and have been shown to correlate with tumor progression [102]. They are not a homogenous group, rather defined by their lack of differentiation into another myeloid effector type and immunosuppressive effect [103,104]. They hinder T lymphocytes via depletion of L-arginine [105–107], and secretion of nitric oxide (NO) [108]. Through production of IL-10, they are able to push TAMs towards an M2-like phenotype and lower their secretion of IL-12 [109]. MDSCs are also angiogenic, producing MMP9, and even able to take on endothelial properties [110].

Previous work in our group has investigated the immune infiltration of SCLC, with a focus on T lymphocytes (CD3<sup>+</sup>, CD8<sup>+</sup>) [111]. Here, we aim to expand on those findings by characterizing the myeloid cell population of the SCLC TME.

### *1.2.3. Immunotherapy*

Historically, the treatment of cancers has largely focused on the direct targeting of tumor cells, even though there were some early therapies that aimed at the activation of the immune system. Like Coley's toxins, developed at the end of the 19th century, based on the observation of the effects of a Streptococcal infection on cancer patients [112]. In 1976, application of the BCG vaccine was reported to lower the recurrence of bladder cancer [113]. However, these failed to gain traction in the medical community until the 1990s, when the development of the first immune checkpoint blockers (ICB) spearheaded the current renaissance of tumor immunology, leading to the 2018 Nobel prize [114].

Most of ICB drugs to date are monoclonal antibodies (denoted with a -mab suffix in their name) that focus on one of two receptors and their interactions with ligands: programmed cell death protein 1 (PD-1 or CD279) with either programmed

death-ligand 1 (PD-L1 or CD274) or PD-L2 (CD273) or cytotoxic T lymphocyte associated protein 4 (CTLA4 or CD152) with either CD80 or CD86 (a.k.a. B7-1 and B7-2, respectively). Both receptors are expressed prominently (but not exclusively) on T lymphocytes [115].

The PD-1 pathway is critical for establishing immune tolerance, and defects lead to the development of autoimmune diseases [116,117]. Upregulated expression of the ligands on cancerous cells is able to trigger these defensive mechanisms and aid in immune escape. High expression of PD-L1 is common in hot TIMEs, and ICB targeted at the PD-1/PD-L1 interaction generally associates with better response [118]. Some of the more promising drugs inhibiting this pathway are nivolumab and pembrolizumab, targeting PD-1, and atezolizumab and durvalumab, targeting PD-L1. In the case of non-small cell lung cancer (NSCLC) the phase 3 trial of nivolumab has shown a 50% increase in progression-free survival [119], and there are studies reporting a remarkable increase in OS in 50%+ PD-L1<sup>+</sup> tumors treated with pembrolizumab (14.2 to 30 months) and atezolizumab (13.1 to 20.2 months) [120], and a 44% increase in PFS (15.6 to 22.4 months) in 1%+ PD-L1<sup>+</sup> tumors treated with durvalumab [121].

Interestingly, in contrast to NSCLC, in SCLC, PD-L1 expression as a predictive biomarker of responsiveness to immune checkpoint blockade is questionable, with conflicting and difficult to compare results. Joint analysis of two studies investigating pembrolizumab as a third-line treatment indicated a positive connection between marker expression and responsiveness [122]. But other clinical trials, of using atezolizumab [21], durvalumab [123], and pembrolizumab [124] as first-line treatment in ES-SCLC, reported no correlation between PD-L1 expression and benefit to patients. PD-L1 expression also shows no correlation with molecular subtypes of SCLC [125].

Atezolizumab and durvalumab have recently been introduced to the standard care of SCLC. While data from their use in a broader scope is yet unavailable, in clinical trials, the two show effects of a similar magnitude on median OS (10.3 to 12.3 and 10.4 to 12.9 months, respectively) and PFS rate (6.4% to 14.9% and 5.3% to 17.9% at 12 months, respectively) [8,126]. The indication of pembrolizumab and nivolumab for SCLC was withdrawn in 2021 and 2020, respectively, by their manufacturers after failing to meet primary endpoints of OS required to prolong their status after accelerated approval from the FDA [127,128].

The most likely mechanism of action for CTLA4 is by competing for ligands with the stimulatory receptor CD28 [129]. Either in NSCLC or SCLC, clinical trials of the CTLA-4 blockers tremelimumab and ipilimumab have not shown decisive results (NSCLC: [130,131], SCLC: [126,132,133]).

### **1.3. Macrophages**

#### *1.3.1. Development*

Immune cells that perform phagocytosis as their main purpose are widespread throughout higher life forms: even in insects that have only three varieties of immune cells, one is a phagocyte [134], and mononuclear phagocytes can be found in all vertebrates [135]. In humans there are resident macrophages in nearly every type of tissue, derived from embryonic precursors, and bone marrow derived monocytes can also extravasate and differentiate into macrophages [136,137]. At first it was theorized that these circulating monocytes are the source of the tissue resident populations, but it has been shown that they are established prenatally and sustain themselves [138]. These local populations show differential gene expression based on their tissue of residence, showing the remarkable adaptive potential of macrophages [139].

#### *1.3.2. Function*

The main physiological functions of macrophages are:

- Phagocytosis
- Interfacing with the adaptive immune system, including antigen presentation
- Modulation of inflammation
- Aiding tissue remodeling, and wound healing

Phagocytosis itself can serve multiple purposes. This process aids in the cleanup of unnecessary structures during development and the remains of dead cells, as well as the removal of bacterial invaders. It also serves as the basis of the macrophages' function as antigen presenting cells (APCs). They are capable of expressing MHC class II molecules on their surface and interact with various lymphocytes to direct the adaptive response [140]. On the other hand, they are also capable of suppressing T cell proliferation, mostly via cytokine production [141]. This inherent duality is also present in their relation to inflammation. When presented with pathogen-associated molecular patterns (PAMP) through surface molecules, like various toll-like receptors (TLR), they release inflammatory cytokines (e.g., IL-1 $\beta$ ) [142]. But they are also able to act in an

anti-inflammatory capacity, e.g., by secreting TGF $\beta$  [143]. During wound healing they take up and degrade collagen [144], modulate the development of stem cells [145], and induce the proliferation of epithelial cells via IL-10 [146].

This variety and variability makes them key components of the TME, and most of these functions should be considered when evaluating their role in cancer. They might work as effector cells as phagocytes, initiate adaptive responses via their APC function, and influence inflammation and angiogenesis [147]. They can affect not only the growth and status of primary tumors but can have a profound impact on the formation of metastases, with some considering macrophage involvement obligatory [148].

### *1.3.3. Polarization*

Differentiation into a macrophage is not the last step in the development of these lines of mononuclear cells. As the cell senses its surroundings and adapts to its particular needs, another series of changes takes place, termed polarization. More than a simple response to a given stimulus, like cytotoxicity, this reaction to the extracellular milieu involves considerable changes to gene expression and the overall function of the cell [149,150]. As it displays more plasticity, it is not classified as another step of differentiation, but it is nevertheless crucial in defining the role and effects of the cell.

It has been known for some time that different cytokines are able to induce monocyte to macrophage differentiation and that they have a differential effect. As far back as 1988, te Velde describes the effects of IL-4 in contrast to IFN $\gamma$  [151]. That this effect can go beyond modulation of a single activation program was expressed by Stein and colleagues, who termed it “alternative activation” [152]. The idea started to mature in the mind of the scientific community, and we encounter the nomenclature of M1 and M2 macrophages, based on the T<sub>h</sub>1- or T<sub>h</sub>2-biased environment that produced them, first in 2000 [153]. The former is characterized by producing nitric oxide (NO) via expression of the inducible nitric oxide synthase (iNOS), and in general promotes an inflammatory response. The latter secretes ornithine, and in general serves a tissue regenerative function. Soon after, the concept was further refined with the identification of subtypes in the M2 group, with Mantovani and colleagues proposing the terms M2a, M2b and M2c [154]. With the rise of high-throughput techniques the process could be examined with even greater resolution, breaking up the discrete subgroups. Based on transcriptomic

analysis, Xue and colleagues have described the results of polarization as falling on a spectrum [155].

While it is not the most sophisticated level of description, even the distinction between M1 and M2 is important in the context of oncology. Studies that do not specify polarization status can associate macrophage infiltration with good or poor prognosis, but if it is taken into account, we can see that the presence of M1-like cells correlates with more positive outcomes, while M2-like cells are linked to poorer outcomes [156].

#### *1.3.4. Therapeutic Repolarization*

As research delved more into the different states of macrophages, it was also discovered that polarization is not terminal, but that a change in the molecular environment can result in adaptive alterations [157]. This also means that repolarization by therapeutic intervention can be a valid option in disease conditions supported by suboptimal macrophage populations, like chronic inflammation [158] or cancer [159].

However, this field of research is still young. Based on a search of available articles (on [pubmed.ncbi.nlm.nih.gov](http://pubmed.ncbi.nlm.nih.gov), keywords: macrophage repolarization tumor), there are sporadic mentions before 2017 (5.4% of articles), but the intentional exploration of this approach has only picked up after 2019 (78% of articles).

A recent review of the field highlights the importance of the Jak/STAT, PI3K/Akt and NF- $\kappa$ B pathways, and reports some promising results concerning the CD47/SIRP $\alpha$  pathway as targets of repolarization therapy [160].

## 1.4. Computers in Medicine

### 1.4.1. Drug repositioning

The development of new drugs is costly, both in time and money. With the advancement of computer technology various options became available to streamline the process [161]. Based on chemical structure the ADMET (absorption, distribution, metabolism, excretion and toxicity) parameters of large libraries of small molecules can be estimated [162]. Indications and effect profiles can be predicted before a single molecule is synthesized [163]. As our data storage capabilities also increased, collection of experimental data into massive databases became available, for both small molecules (like DrugBank [164] and PubChem [165]) and proteins (like UniProt [166] and HPRD [167]), that can serve as the basis of high throughput *in silico* methods.

In addition to the discovery of novel molecular entities, in recent decades drug repurposing, i.e., approval and usage of existing compounds for new indications, has gained prominence. While this has also started with the employment of classical clinical analysis, it was mostly reliant on serendipitous discoveries of side effects (e.g., using aspirin in the treatment of cancer [168,169]). Our group has previously evaluated the effectiveness of aspirin, statins and other drugs with purported repositioning potential in cancer specifically for SCLC, based on clinical data [170]. High throughput *in vitro* methods for screening were developed, but since *in silico* techniques aiming to predict effect profiles work the same for approved molecules and novel ones, they require no special adjustments and present a more easily applicable toolset for systematic screening efforts [171].

### 1.4.2. Modeling biological systems

Approaching the drug-organism interaction from the other direction, modeling the reaction of biological systems to outside intervention can highlight molecular targets, as well as provide insight into the nature of the unperturbed system. Databases of potential or extant drugs can then be scanned for agents capable of modulating the behavior of the novel targets.

One fairly intuitive way of computationally representing complex systems is through networks. This approach emerged from the mathematical field of graph theory and has gained ground in the early 2000s [172,173]. Focusing on the interconnectedness of components, rather than the mechanism of those interactions, provides a level of

abstraction that bypasses hard to measure biochemical parameters, but nevertheless reveals useful information about the system [174]. It also allows for the easy investigation of the perturbation of multiple targets at once, which is crucial in the understanding of the complete effect profile of a given drug and in the development of combinatorial therapies [175].

In the specific case of macrophage polarization, we are investigating a process that enables the cell to adapt to the needs of its surroundings. If we aim to study it, we have to create a model of that environment. Doing so comprehensively *in vitro* would mean coculturing multiple different cell types, adding numerous small molecules to the medium and monitoring them [176]. That is why most researchers focus on the effect of a few specific signals, outside the broader context present *in vivo* [177,178]. Using a computational, *in silico*, model circumvents those problems, as it gives us full control of the experimental conditions.

## 2. Objectives

- Characterize the immune cell population (especially TAM and MDSC) of the SCLC TME, according to neuroendocrine subtypes and localization in tumor compartments to identify influential cell types suitable for modulation.
- Analyze the gene expression patterns of SCLC to identify molecular targets in tumor subtypes according to immune cell infiltration and neuroendocrine (NE) marker expression status (low vs high).
- Build an *in silico* model of tumor associated macrophage polarization in response to cytokines and other small molecule transmitters in the tumor microenvironment to facilitate cancer research and therapy development in the field.
- Identify elements of signal transduction in macrophages as potential drug targets in order to modulate the polarization process, and counter or diminish the immunosuppressive effect of macrophages in the TME.
- Evaluate, *in silico*, the potential of combination therapies versus the single-target approach in macrophage repolarization.
- Create a user friendly and collaborative platform collating and presenting the latest drug target information to enhance SCLC drug target validations and drug repurposing.



## **3. Methods**

### **3.1. Exploring the Microenvironment of SCLC**

#### *3.1.1. Patient Population*

In our retrospective study, we included surgically resected and histologically diagnosed samples from 1978 to 2013, collected at the National Korányi Institute of Pulmonology (Budapest, Hungary). Of the 219 samples available, matched lymph node metastases were included in 32 cases, and we focus our study on the analysis of these paired samples. A board-certified pathologist has histologically confirmed the diagnosis of SCLC. 27 patients had stage I or stage II SCLC, the remaining 5 had stage IIIA. An exploration of the clinicopathological characteristics has been previously reported [179].

#### *3.1.2. Sample Preparation*

Formalin-fixed, paraffin-embedded (FFPE) tissue samples were prepared immediately after the resection procedure. Fixation was performed in 4% formalin for 2 hours in room temperature, followed by an additional 48 hours in 4°C and then embedding into paraffin blocks. These FFPE blocks were used in the construction of a tissue microarray (TMA), according to previously described protocols [180]. The specifics in our study were as follows. We created 5-micron sections with an HM-315 microtome (Microm, Boise, ID, USA), which were then placed on charged glass slides (Colorfrost Plus, #22-230-890, Fisher, Racine, WI, USA). A board-certified pathologist reviewed the slides and identified tumor borders after staining with hematoxylin and eosin on an automated platform (Tissue-Tek Prisma, Sakura, Osaka, Japan). 1-mm punches were then taken from donor tissue blocks, 2 each from primary tumors and 1 each from lymph node metastases (MP10 1.0 mm tissue punch on a manual TMA instrument, Beecher Instruments, Sun Prairie, WI, USA). These were seated into a paraffin block in a positionally-encoded array format.

#### *3.1.3. Immunohistochemistry and -fluorescence*

For the purpose of immunohistochemistry 5-micron slices were cut from the TMA blocks and then processed using a Leica Bond RX autostainer. The following antibodies were used:

- against CD68: used as a pan-macrophage marker, mouse monoclonal (diluted 1:300, ab201340)
- against CD163: used as an M2 marker, rabbit polyclonal (diluted 1:400, ab87099)
- against CD33: used as an MDSC marker, mouse monoclonal (diluted 1:200, ab11032)

All three were purchased from Abcam (Cambridge, UK). The Bond Polymer Refine Detection kit (#DS9800) was used to stain the slides, according to Leica IHC Protocol F. Epitope retrieval was performed by a 20 minute exposure to the epitope retrieval 1 (low pH) solution. A Tissue-Tek Prisma automated platform was used to perform clearing and dehydration of the slides, followed by cover slipping with a Tissue-Tek Film coverslipper. In the case of double stainings, the ImmPRESS<sup>®</sup> Duet Double Staining Polymer Kit (MP-7724, VectorLabs, Burlingame, CA, USA) was utilized, with the HRP Anti-Mouse IgG-brown, and AP Anti-Rabbit IgG-magenta secondary antibodies, counterstained with hematoxylin.

Double immunofluorescence was performed with secondary fluorescently labeled antibodies Alexa 488 anti-rabbit IgG and Alexa 546 anti-mouse IgG (Invitrogen, Carlsbad, CA, USA), targeting epitopes of CD68 and CD163, respectively. Autofluorescence was eliminated with TrueBlack<sup>®</sup> Lipofuscin Autofluorescence Quencher (Biotium, Fremont, CA, USA) from FFPE samples.

#### *3.1.4. Cell Counting and Analysis*

We used an Olympus BX53 upright microscope to capture images of TMA sections. 20 MP resolution images were taken with a DP74 color CMOS camera, using 10x and 20x magnification objectives. The Olympus CellSens Dimensions Software package was used for morphometric analysis. Area annotation was performed manually, as described in, indicating the tumor nest and stroma [111]. To summarize, sections from two separate punches were retrieved for primary tumors, and one for metastases to create the TMA-s. Two different 5 $\mu$ m slices, at least 100 $\mu$ m apart, were quantified from all TMA blocks. Readings from different slices of the same TMA punch were averaged. CD45<sup>+</sup>, CD3<sup>+</sup>, CD68<sup>+</sup> and CD163<sup>+</sup> cells were manually counted by two independent observers, using the cell counter plugin of ImageJ [181]. Due to the low cell density, records of CD33 expression were binned (0 / 1-10 / 11-20 / 21+). MHCII expression was described with an ordinal categorical scale, ranging from 0 to 4.

We have defined high and low levels of immune infiltration, based on CD45<sup>+</sup> and CD3<sup>+</sup> cell density measured previously by our group [111]. We implemented a threshold taking into consideration the distribution of values observed in our samples and their median absolute deviation (MAD). To be classified as an infiltration-low, a sample has to be low-CD3 in both the tumor nest and stroma compartments and low-CD45 for at least one component. To be classified as an infiltration-high, a sample has to be high-CD3 in either compartment and also high-CD45 in either compartment.

For all density distributions the Kolmogorov-Smirnov test was used as a test of normalcy, and none of them were determined to be normal. Accordingly, we used the nonparametric Wilcoxon signed rank test to compare punches from the same patient (as matched pairs). We found no significant differences, and thus used the mean of the two cores in all analyses. Comparisons between density distributions of CD68<sup>+</sup>, CD163<sup>+</sup>, and CD33<sup>+</sup> cells from different circumstances (NE-high vs. NE-low, primary tumor vs. metastasis, nest vs. stroma) were performed with the Wilcoxon rank sum test, using two-sided p-values. Correlations between densities and expression levels were calculated using Spearman's  $\rho$ . For both the significance threshold was set at  $p=0.05$ .

### *3.1.5. RNAseq Analysis*

We have utilized the HTG EdgeSeq Targeted Oncology Biomarker Panel to extract RNA expression data from our FFPE tissue samples [182]. The panel contains 2549 genes related to cancer. Samples were run as singletons, and results were validated with positive and negative process controls. Expression levels of neuroendocrine genes were used as a basis for the NE-high or NE-low classification of samples, as described previously [16,179,183].

## **3.2. Modeling Macrophage Polarization**

### *3.2.1. Model Design*

Since we are interested in the effect of therapeutic intervention, we need a model that can predict the effects of changes to given, specific elements of the system. Anything that can be targeted has to be represented separately, i.e., it needs to be possible to implement external changes to each without changing the rest of the model. That is why I chose a network-based model.

In order to represent a process and not just the general relationships of elements, data on interactions needs to include directionality and distinguish between activation and

inhibition. In other words, edges need to be directed and signed. We also need to decide on a level of complexity. Theoretically even biochemical reaction data can be directly incorporated into a network framework, with differential equations governing changes. However, experimental data is prohibitively sparse and not uniformly measured. Taking into account the planned scale of the model, we have opted for a simpler representation, a Boolean network with logical gates. This is a well-established method that allows for the integration of diverse data sources [184], and for added complexity in the situations simulated, like the systematic examination of multi-target therapies [175].

### 3.2.2. *Network Elements*

#### 3.2.2.1. Nodes

Our aim is to model the response of the cell to outside signals. This defines a clear input: extracellular signaling molecules. It is also practical to choose a well-defined output: a set of entities that will represent the response (polarization) of the cell. While there certainly are proteins that exhibit changes in activity, we know that such a critical change to the cell's functionality involves changes to gene expression. Protein activity and gene function both influence each other, but modeling the complexity of that feedback system is outside the scope of the current study. Using gene expression as output provides a natural endpoint.

We have also started the definition of nodes with the two sides of inputs and outputs, as they form the frame of our model. We searched the literature for primary research articles on macrophage polarization that provide experimental evidence about the effects of potential inputs (extracellular stimuli), and outputs (gene expression) [159,177,185]. We prioritized components that are supported by multiple references to raise the confidence level of the data and make verification feasible. The need for manual curation also imposed a limit on model size, making this method more fruitful than paring down an extensive list of potential components from a large database. Genes without sufficient information on the transcription factors regulating them (at minimum a set of TF-s that are necessary and sufficient for expression) were excluded. This included VEGFA, which undergoes splicing events that markedly alter its function, but the proteins responsible are not well described [186].

In order to connect the two sides of the model, we collected the elements of major pathways from the KEGG Pathway database [187]. We limited our search to pathways

that are researched in such detail that we could expect to extract an unbroken and functional series of direct connections from the available literature and data. The Jak/STAT, MAPK, PI3K/AKT, and TLR pathways were selected, as this group contains all input and output points of our system and meaningfully connects them. To ensure that any combinatorial effects and crosstalk between the pathways is present in the model, first neighbors of these core nodes that interact with components from at least two pathways were added, based on the databases listed in ch. 3.2.2.2.. The list of nodes was also reviewed based on our verification criteria (see ch. 3.2.3.4.). We have found criteria that could not be satisfied with only the nodes collected in the above, systematic manner. In these cases, we have analyzed the literature about the expression of the genes in question, and upstream signaling elements as necessary, to identify the source of the discrepancy. In some cases, functional components were found to be missing from the model and were then included as additional nodes (see ch. 4.2.2.).

We have also checked the relevance of each node and excluded proteins that do not change in activity in macrophages. On one end are proteins that show no expression in macrophages. This is according to the Gene Atlas database (accessed via [biogps.org](http://biogps.org)) [188]. On the other are those that have constitutive activity in this cell type (e.g., PU.1, a transcription factor). These were considered to be essential for either the survival of the cell or upholding its identity as a macrophage, making them unfit to be targeted with therapeutic intervention. Thus, they would have been nodes that are active in all cases and states of the model and would hold no information whatsoever.

The accurate representation of certain proteins and their processes in our Boolean system has necessitated the addition of a number of “technical” nodes. These denote complexes and altered forms whose behavior could not be described with only the proper choice of edge weights. Furthermore, we have grouped certain proteins into one node if their functionality did not differ on a scale that could be represented in our system. For example, we have included only a single “PI3K” node to account for the complex of multiple separate protein subunits. Interactions of group members were included as interactions of the whole group.

The entire list of nodes, their groupings and expression levels are presented in Supplementary Table 1 of [189].

### 3.2.2.2. Edges

To define our edges, we first collected and collated information from the STRING [190] and SignaLink2 [191] databases. Since we are looking for direct interactions, we have limited the data loaded from the STRING database to those tagged as “binding”. Both databases contain data for which evidence is circumstantial or weak: STRING often lists connections that are based on the interactors’ presence in the same pathway, and SignaLink2 contains edges that are supported only by *in silico* predictions. We did not include these. During the later stages of model construction, we have also utilized the HPRD database to check individual interactions [167]. We did not find a database of transcription factor - gene interactions suitable for our needs, and thus those edges are based on a systematic manual search of the literature. Since the databases do not contain (unequivocal) information on the direction and sign of edges, this information was collected separately as part of the manual curation of the system. Evidence was collected with the aim of describing a human macrophage, with evidence in other cell types usually rejected. Exceptions to this were made only if it served to fulfill verification criteria (see chs. 3.2.3.4. and 4.2.2.).

With regards to the interactions represented by edges, it should be noted that sub-protein elements of signal transduction ( $\text{Ca}^{2+}$ ,  $\text{PIP}_3$ ) were not given separate nodes. In addition, physical interactions within larger complexes were omitted, based on the analysis of the information flow in the complex. E.g., while MyD88 and TRAF6 have a physical bond *in vivo*, their relationship is already functionally described in the  $\text{MyD88} \rightarrow \text{IRAK1} \rightarrow \text{TRAF6}$  set of edges. These bonds and scaffold proteins serve an important function in the kinetics of the formation of complexes, but unless a component of the model is able to completely disrupt the binding, their effects are too subtle to include in our Boolean system.

The edges are also weighted in order to encode how multiple incoming edges affect the activity of their target, by effectively creating logic gates. Thus, they do not represent parameters of the binding event or enzymatic action, but rather dependencies. If a node has two incoming edges of weight 0.5, these interactions are assumed to be both necessary for the activation of the node (rather than, e.g., both being able to create 50% activity), forming an AND gate (see Eq.1. in ch. 3.2.3.1.). Inhibition is represented by

negative weights, and such edges are otherwise evaluated in the same manner as activating ones.

The complete list of edges, including references in support of them, is presented in Supplementary Table S2 of [189].

### 3.2.3. Model Evaluation

Calculations were performed with MatLab (The MathWorks, Inc.). Scripts are available as Supplementary File S5 of [189].

#### 3.2.3.1. State progression

The model starts each run with all nodes inactive, except for those constitutively active for technical reasons and any input nodes chosen for that particular instance. The activation state of these nodes is kept constant. From this starting point the system advances in discrete steps. The new activation state of each non-fixed node is calculated from the previous network state and all of them are evaluated in each step; this is called a synchronous update scheme. The nodes that have an impact on the state of a particular node are the ones sending edges to it. Their concerted effect is represented by a logical gate and is encoded in the weight of those edges.

These steps are continued until a stable cycle of network states is reached. This set of states is called an attractor, more specifically a limit cycle if there is more than one constituent state and a fixed point if there is only one. To recognize attractors, we have used an implementation of Brent's cycle detection algorithm [192]. The output of the model is always evaluated after an attractor has been reached. We base the mathematical representation of this ruleset on the one published in [193]. Subsequent system states are calculated as:

$$S_{t+1} = \text{thr}(W \cdot S_t - \Theta) \quad [\text{Eq.1.}]$$

where  $S_x$  is the state of the system at step  $x$ , represented as a binary column vector,  $W$  is a square weight matrix containing all edge weights (with nonexistent edges having a weight of 0),  $\Theta$  is a vector of threshold values for each node and  $\text{thr}$  is a threshold function defined as: [if  $x \geq 0$ :  $\text{thr}(x)=1$ , otherwise  $\text{thr}(x)=0$ ]. Constitutive node states are implemented by changing the threshold value for them (in  $\Theta$ ) to  $\pm 100$ , making them independent of incoming edges or their lack thereof.

### 3.2.3.2. Polarization index

The output of a particular run is a gene expression pattern, encoded as the activation state of the output nodes. We chose to process this into a continuous index value representing polarization state, in accordance with the spectrum model of macrophage polarization [155]. We refer to this as the polarization index, or  $pI$ , and calculate it as:

$$pI(i) = \frac{A_1(i)}{T_1} - \frac{A_2(i)}{T_2} \quad [\text{Eq.2.}]$$

where  $A_x(i)$  is the number of active outputs of type  $x$  given input  $i$  for fixed point attractors, and the sum of the ratio of states in which each output of type  $x$  is active for all such outputs given input  $i$  for limit cycles.  $T_x$  is the total number of outputs of type  $x$ . Type 1 genes push polarization in an inflammatory direction (towards an M1-like state), and type 2 genes promote a tissue-protective polarization (towards an M2-like state). In our model the outputs designated type 1 are: CD64, CD68, CIITA, CXCL10, IFN $\beta$ , IL-12A, IL-12B, IL-1B, IL-6, iNOS, and TNF $\alpha$ . The outputs considered to be type 2 are: CCL17, CCL22, CCL24, CD209, MERTK, MRC1, and TGF $\beta$ .

### 3.2.3.3. Synergy index

In the simulations where we inhibit two nodes simultaneously, we define a measure of synergy, i.e., how different is the result of these inhibitions from what we would expect based on their individual effects. We term this the synergy index, or  $synI$ , and calculate it as:

$$synI(A, B, i) = \frac{|\Delta pI(AB, i)|}{\max(|\Delta pI(A, i)| + |\Delta pI(B, i)|, \tau)} \quad [\text{Eq.3.}]$$

where  $\Delta pI(X, i)$  is the change in polarization index due to the inhibition of node(s)  $X$  compared to no inhibition under the same input conditions,  $i$ .  $\tau$  is an adjustment parameter included to avoid extreme index values in cases where  $\Delta pI$  values are low for single inhibitions, as these would be misleading. In our work we use  $\tau=0.1$ , but this choice is arbitrary.



#### 3.2.3.4. Verification

The aim of this model is to link external stimuli to changes in gene expression. Thus, the most direct way to verify that it reflects the real-world workings of the system it represents is via comparison to experiments measuring the same. There is not enough data in literature about changes to protein activity levels during these studies to include such in our evaluation, and so we have to restrict the verification to inputs and outputs only. There are some cases where multiple of our outputs were measured in response to one of the inputs, but keeping this linkage would mean we would have to evaluate them on a per-study basis, which could introduce a bias we would have to control for. Thus, we verify the model with individual input-output pairs supported by *in vitro* experiments. We only take into account results that are clearly stimulatory or inhibitory, the former satisfied by the gene node being active in response to the given input alone, the latter by the gene node being inactive. Articles that report no significant change in expression levels were not included. We have collected evidence for 55 input-output pairs. The pairs and the PMIDs of the articles in support of them are presented in Supplemental Table S3 of [189].

### 3.3. Online data collation tool

Our data retrieval and integration utility is built with the R language and produces an HTML output viewable in any browser. A target list can be provided as a local TSV file or online as a Google Sheet document. Targets should be specified with their HUGO (Human Genome Organization) name and UniProt ID. The first R script (clue.R) loads this list, then accesses the REST API of clue.io and for each listed element looks for components in its database, i.e., any information about repurposing attempts. Entries without a clue.io record are excluded from further searches. This data is passed to the next script as an RDS file (a format specific to the R language). In the next step dataPatch.R collects additional data from various sources. It automatically searches the FDALabel service and the website of the European Medicines Agency (EMA) and filters the results to provide direct links to relevant drug labels. Using the UniProt ID, data about the target is collected through the UniProt REST API, including Gene Ontology (GO) terms from the molecular function and cellular component categories, and references to STRING and Reactome. Using the compound name found in clue.io, a search request is sent to the PubMed database. Results are filtered for randomized controlled trials, clinical trials,

reviews, systematic reviews and meta-analyses and the top 3 according to the best match algorithm of PubMed are recorded. A third R script (renderWebPage.R) is responsible for creating a human-readable output. It collects related information from different sources into one group (like multiple mechanism of action (MoA) items or outgoing links to similar resources like DrugBank and PubChem) and converts the data table structure into a hierarchical presentation format. A more in-depth explanation of underlying functions is available at <https://cycle20.github.io/EZCancerTarget/index.html>, and all scripts, version descriptions and the runtime environment can be downloaded for free at <https://github.com/cycle20/EZCancerTarget>.

## 4. Results

Primary tumors and metastases were classified into NE-high and NE-low categories. The NE-high subtype was more prevalent in the cohort, with 20 primary and 24 metastatic tumors falling into this group. There were 11 primary and 8 metastatic samples in the NE-low group. Next, we characterized the samples in regard to immune infiltration level. In our analysis, 56% of infiltration-high tumors were classified NE-low, and 87.5% of infiltration-low tumors as NE-high.

### 4.1. Myeloid cells in the TME of SCLC

#### 4.1.1. Distribution

The distribution of CD33<sup>+</sup>, CD68<sup>+</sup> and CD163<sup>+</sup> cells shows a particular pattern across our axes of interest: tumor nest/stroma, primary/metastatic and NE-high/NE-low [fig. 1., fig. 2.]. By comparing pairs of data that differ in one attribute (e.g., CD86 in the stroma of primary tumors in NE-high vs NE-low samples), we have observed the following. First, the stroma has two properties in contrast to the tumor nest: overall immune infiltration is significantly higher ( $p < 0.008$  in all cases, for all myeloid cell types), and it has CD33<sup>+</sup> cells (though fewer in number than other immune cells [fig. 1. (I)]). Second, in primary NE-low tumors, we can detect a higher density of myeloid cells, when compared to their NE-high counterparts [fig. 1. (J, K)]. Specifically: a significantly higher density of CD68<sup>+</sup> and CD33<sup>+</sup> cells in the stroma ( $p = 0.048$  and  $0.019$ , respectively), and a significantly higher density of CD68<sup>+</sup> and CD163<sup>+</sup> cells in the tumor nest ( $p = 0.032$  and  $0.003$ , respectively) [fig. 2.].

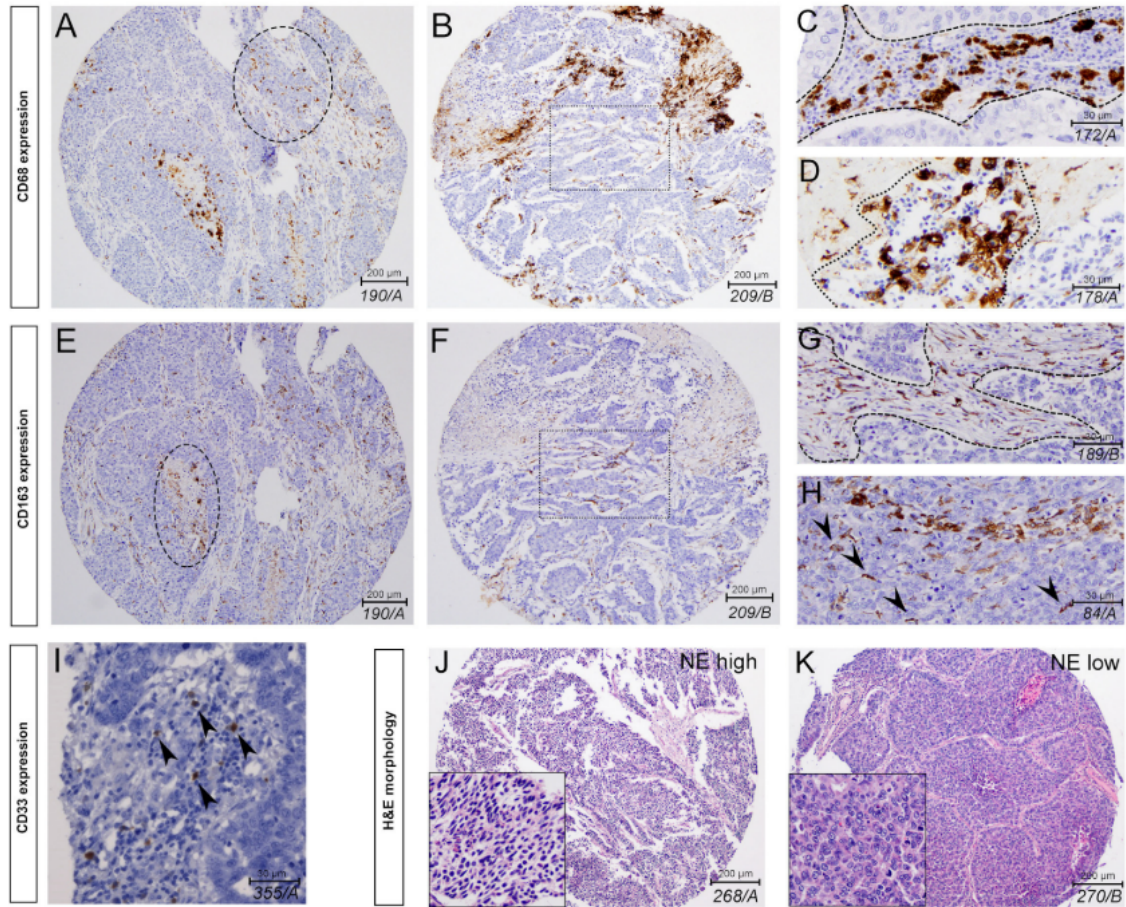
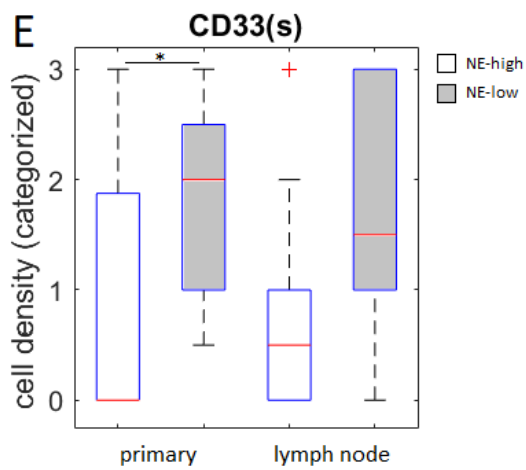
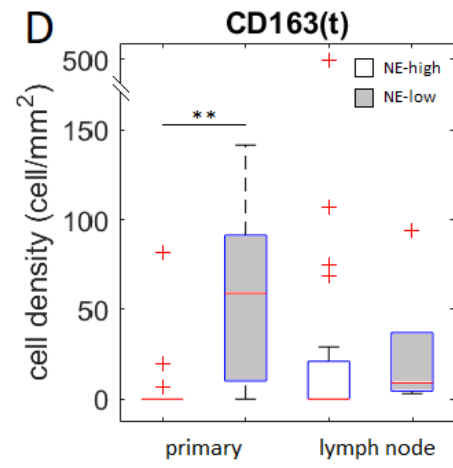
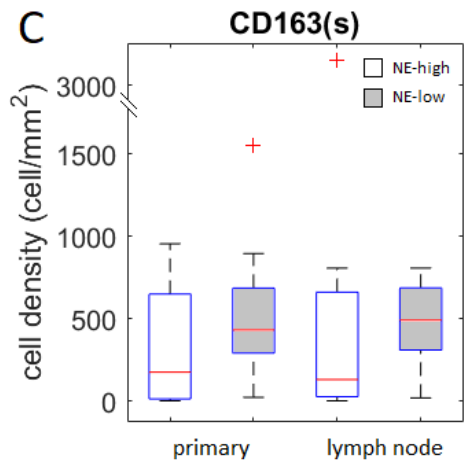
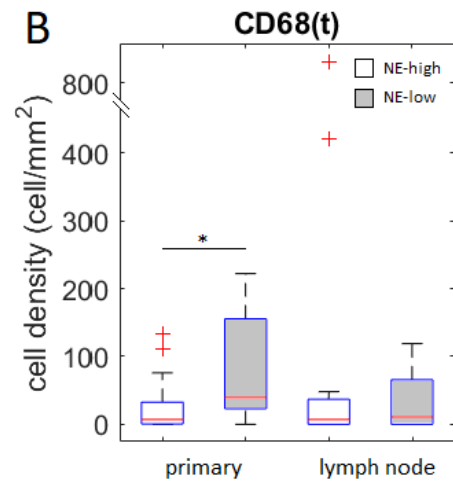
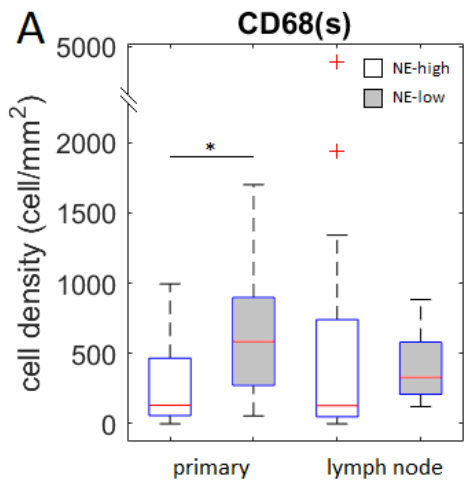


Figure 1. Immunohistochemical analysis of myeloid markers in SCLC tissue samples. Samples are formalin-fixed and paraffin-embedded (FFPE). Myeloid cells show multiple morphologies. Both amoeboid and ramified cells express CD68 (A-D), while CD163<sup>+</sup> cells are mostly ramified and exhibit long processes (G). Both markers show preferential expression in the stroma compartment (ROI-s marked on C, F, and G). CD163 expression is particularly sparse in the tumor nest (arrowheads on H). In cases of high immune infiltration, CD68 can be found in the nest compartment (ROI on A). Both macrophage markers also appear in necrotic areas (ROI-s marked on D and E). CD33<sup>+</sup> cells are present exclusively in the stroma, and only in low numbers (arrowheads on I). On images J and K hematoxylin and eosin staining shows the histologic characteristics of neuroendocrine (NE) high and low tumors, respectively. (Source: [194], used according to the Creative Commons Attribution (CC BY) license.)



◀ Figure 2. Comparison of cell density data of myeloid cells in different SCLC subtypes and split between the stroma and tumor nest compartments. CD68<sup>+</sup> cell numbers are significantly increased in primary NE-low tumors, compared to NE-high (A, B). While CD163<sup>+</sup> cell density does not show significant differences in the stroma across subtypes and compartments (C), it is significantly elevated in the nest of primary tumor sites of NE-low cases (D). CD33 did not appear in the tumor nest. In the stroma, its levels are increased in primary NE-low tumors (vs. primary NE-high) (E). There were no significant differences in CD68, CD163, and CD33 expression patterns of NE-high and NE-low cases in lymph node metastases (A-E). Data was obtained with immunohistochemistry from formalin-fixed, paraffin-embedded (FFPE) samples, organized into a tissue microarray. Values for a given sample are the average of two slices from the same punch. Values measured in the stroma are indicated with (s) in the title (A, C, E), while those from the tumor nest are labeled (t) (B, D). Grouping based on neuroendocrine marker expression is shown with the box color: clear for NE-high and gray for NE-low. For CD68 and CD163 (A-D), cell density is measured in cell/mm<sup>2</sup>. In the case of CD33 (E), density was measured as distinct categories labeled from 0 to 3. In all figures the red line represents the median of values, the box ranges from the 25th to the 75th percentile and whiskers stretch to the minimum and maximum values (ignoring outliers). Red (+) marks indicate outliers, defined here as values further from the closest edge of the box (25th or 75th percentile) than 1.5 times the height of the box. Vertical axes in A-D have a break point (marked with \\\) in order to accommodate all outliers without compromising the presentation of the bulk of the data. (\*) marks  $p < 0.05$  and (\*\*)  $p < 0.01$ , according to the Wilcoxon rank sum test. (Candidate's own figure, unpublished)

#### 4.1.2. Associations with other immune markers

Previously, our group has also measured CD45 (as a pan-leukocyte marker), CD3 (as a T-cell marker) and the major histocompatibility complex II (MHCII) expression of tumor cells [111]. Here, we have analyzed the correlations between these factors and myeloid cell markers [fig. 3.].

We observe that all significant correlations are positive. CD68 shows negative association to all other markers in NE-low metastases, in the stroma and nest separately ( $\rho=-0.314$  to  $-0.872$ ), but these are not significant ( $p>0.05$ ), possibly affected by the low number of observations ( $n=5$  or  $6$ ). CD45 and CD3 show consistently high correlation in all cases (min  $\rho=0.821$ ). In primary tumors, evaluating the stroma and the nest together, all 4 cell types have fairly high correlation, with values slightly higher in NE-low cases. In lymph node metastases this is limited to NE-low tumors and excludes CD68. If we stay focused on primary tumors, but look at the tumor nest separately, all markers show consistently high correlation, including tumor MHCII expression (CD45/CD3  $\rho=0.928$ , for all others  $\rho=0.703$  to  $0.812$ ). In the stroma of primary tumors CD3, CD45 and CD163 are strongly correlated (min  $\rho=0.811$ ), with CD68 and MHCII showing lower levels of association to other markers.

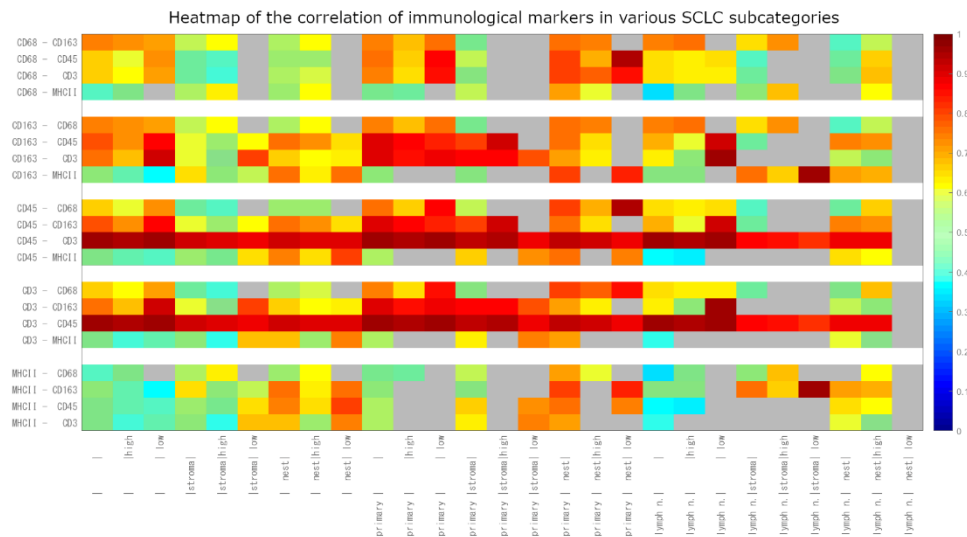


Figure 3. Heatmap of the correlation of immunological markers in various subsets of SCLC samples. The markers being compared are CD68, CD163, CD45, and CD3 on immune cells and MHCII on tumor cells. The pair of markers being compared is indicated on the vertical axis (one group for each marker), and the subsets on the horizontal axis. If a category is left blank, both options for that category (subtype, compartment or

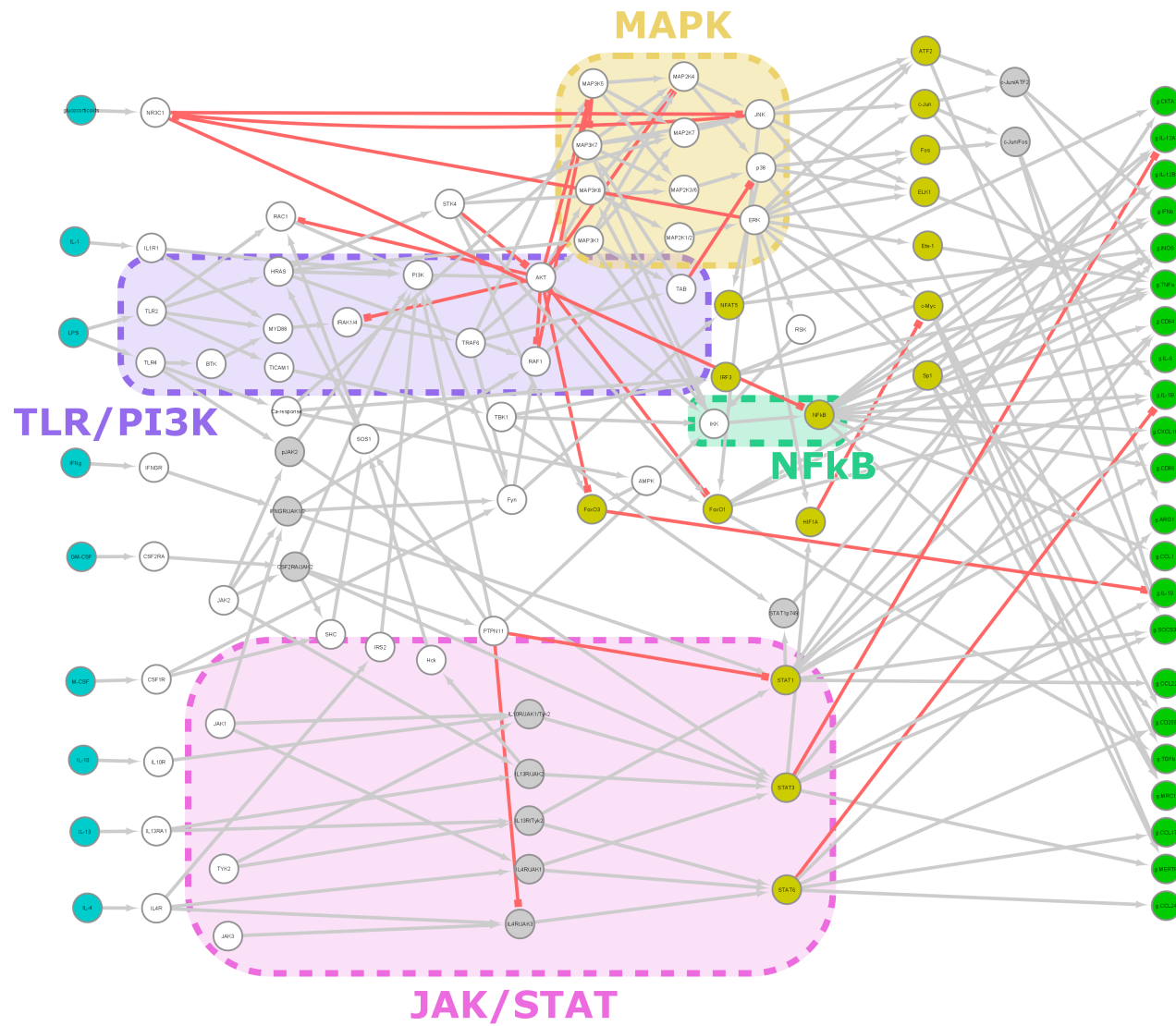
localization) were taken into account. “high” and “low” refer to neuroendocrine (NE) marker levels. “lymph n.” refers to lymph node metastasis, in contrast to the “primary” tumor site. Color corresponds to the value of Spearman’s  $\rho$ , with the scale indicated on the bar on the right. No value is presented (marked in gray) for cases where the correlation is non-significant ( $p > 0.05$ ). There are a number of “hot spots” with high correlation values. CD3 and CD45 correlate strongly over all categories. Without categorization with regards to nest/stroma and primary/lymph node, all immune cell markers correlate moderately or better with each other. The situation is similar if we look at only primary tumors, with a few exceptions, including cases where MHCII also correlates with the other markers (notably primary/nest/-). CD163 correlates strongly with CD3 and CD45 in the lymph node/-/NE-low case. (Candidate’s own figure, unpublished)

## **4.2. Boolean Network Model of Macrophage Polarization**

### *4.2.1. Characteristics*

We have built a network that includes 106 nodes and 217 edges between them. Of the nodes, there are 9 input and 22 output, with 75 inner nodes connecting them [fig. 4.]. One of the fundamental characteristics of a network is its degree distribution. (The degree of a node is the number of edges connected to it.) Protein-protein interaction networks have been observed to fall into a specific category regarding this in most cases. They exhibit a so-called scale-free architecture, where the degree distribution follows a power law [195]. We have examined our system to check whether it also follows this trend [fig. 5. (A)]. The disparity in the case of degree = 1 can be explained by the fact that this is a control network. A degree of one is restricted to input and output nodes only, as all others are required to have at least one incoming and one outgoing edge. The only exception to this are the JAK-s that have no inputs, as their nodes are constitutionally active for technical reasons, and out of them only JAK3 has only 1 edge. This limitation is responsible for the lower-than-expected frequency. The other difference is the overrepresentation of nodes of degree = 4. While a direct cause is hard to ascertain, we observe that most technical nodes (8 out of 11) have this trait. Excluding technical nodes from calculations fails to bring the number in line with expectations. If we declare degree = 1 and degree = 4 outliers and exclude them, the network fits a scale-free distribution ( $R^2 = 0.80$ ).



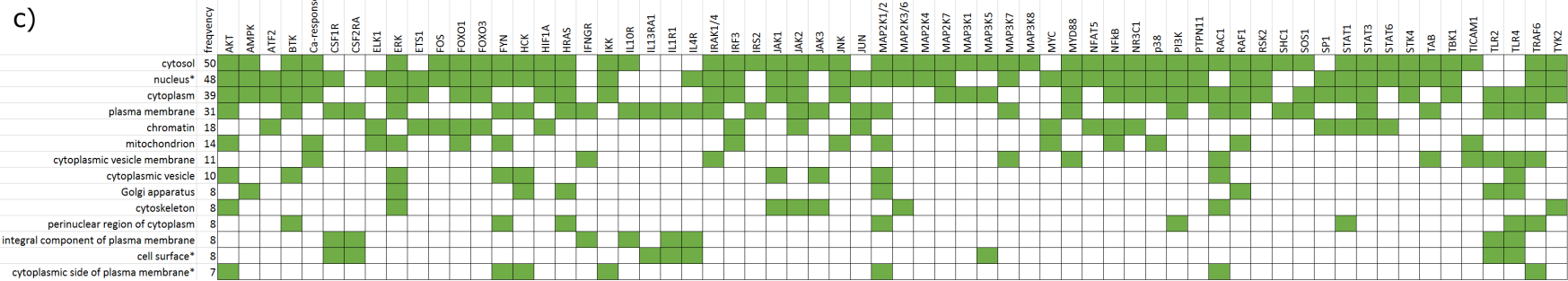
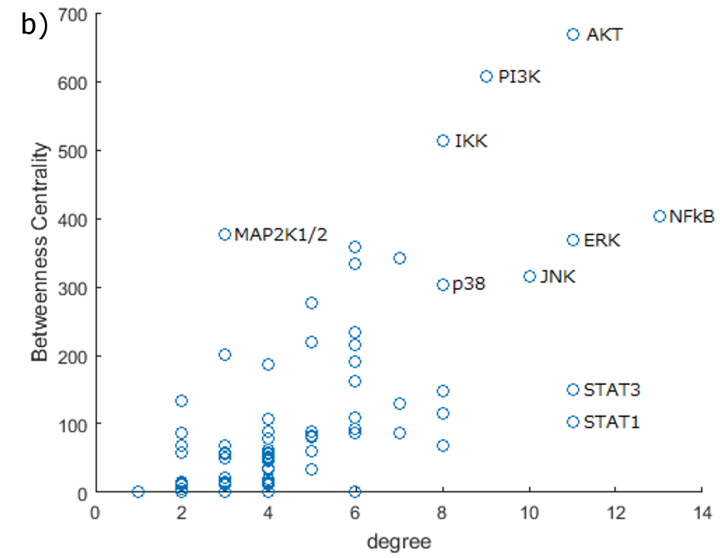
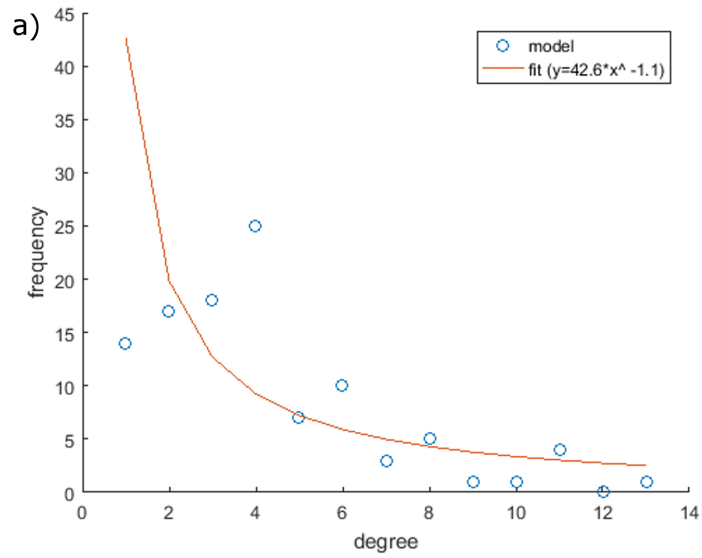


◀ *Figure 4. Visual representation of the macrophage polarization network. Certain categories of nodes are colored (teal: input, green: output, yellow: transcription factors, gray: technical nodes). Red edges indicate an inhibitory effect. Signaling pathways are highlighted with colored boxes. The image was created with the aid of Cytoscape. (Candidate's own figure, published in [189], used according to the Creative Commons Attribution (CC BY) license)*

To rule out that our selection of nodes created any major distortions in the pathways examined, we checked the betweenness centrality of nodes [fig. 5. (B)] and confirmed that we cannot find any component with an anomalously heightened value. Looking at the attractors reached, in the case of the unperturbed model and single inhibitions, all of them are steady state. This is to be expected, based on our decision to not include major feedback loops. This changes and a few limit cycles appear (2894, 0.26%) with the simultaneous inhibition of two nodes, showing that the model is not completely bereft of cyclical substructures. In order to double-check that all interactions in the model can happen in an actual cellular environment, we have pulled localization data for all components from the Gene Ontology database [196,197] [fig. 5. (C)]. All interactions are theoretically possible in the cytosol, nucleus or the plasma membrane.

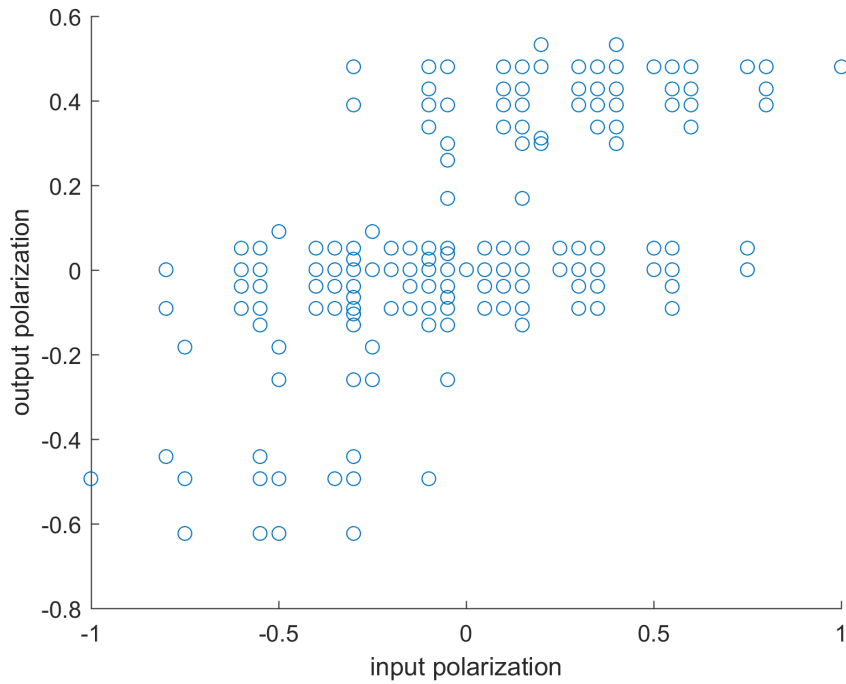
#### 4.2.2. Verification

When the verification criteria were first applied to the model during development, the output had an approximately 65% match with it. Differences between the two were used to spot incorrect or incomplete parts of the model. There were three major points of difference that involved the addition of new nodes. The protein node HCK was introduced to reconcile the model with the  $IL13 \rightarrow CD209^+$  criterion [178]. There was support for an  $IL13 \rightarrow Akt$  connection, but not in macrophages [198]. Investigating this link led us to HCK, and it was then included based on the support for the overall input-output link established in macrophages. The  $GM-CSF \rightarrow CIITA^+$ ,  $iNOS^+$  criteria [199,200] could not be satisfied within the framework at the time, and this prompted the search for a “missing” node and later addition of the transcription factor NFAT5. The node of STK4 was added and removed multiple times during the fine-tuning of the system, due to insufficient data about its direct connections and was kept in the published version of the model based on its effects on verified input-output connections. The final model shows a 94.55% match with the verification criteria (52 of 55).



◀ *Figure 5. General statistics of the in silico protein-protein interaction network, representing overall structural properties (degree distribution (a), betweenness centrality of nodes (b)) and co-localization of components (c). a) Degree distribution. A power law curve was fitted ( $R^2 = 0.80$ ) to the data with degrees 1 and 4 ignored as outliers (red line). This supports that our network has a “small world” property, a characteristic feature of most biological networks. b) Betweenness centrality against degree per node. Nodes of possible interest are labeled. All nodes emerging this way are known to be of central importance, meaning that our network does not overrepresent minor actors and pathways. c) Cellular localization of model components. For each protein data from the Gene Ontology database was extracted. Here the terms were extended to include all sub-terms (those connected with one or more “is\_a” relations). In the cases marked with an asterisk, certain terms connected by a “part\_of” relation were also merged: “nucleoplasm” and “nuclear body” were merged into “nucleus”, “external side of plasma membrane” was merged into “cell surface”, and “extrinsic component of cytoplasmic side of plasma membrane” was merged into “cytoplasmic side of plasma membrane”. The components of the network co-localize into major subcellular compartments, supporting that direct interaction between them is feasible in vivo. (Candidate’s own figure, published in [189], used according to the Creative Commons Attribution (CC BY) license)*

We have also examined how internally consistent the assignment of inputs and outputs to polarization directions is. We calculated a polarization index for the input nodes too, analogous to what we defined for the outputs (see ch. 3.2.3.2.), for all input combinations, based just on their assignment. Then we compared the two indices [fig. 6.]. While the correlation between them is moderate, with a Pearson  $r$  of 0.58, the tendency we expected from their relation is apparent. In addition, a slight clustering of the output pI values can be observed. This is not an inherent attribute of how the index is constructed, as the value set is close to continuous. There are three subsets, one each that shows polarization towards an M1-like and an M2-like state, and one that is transitory or uncommitted. There is only a relatively narrow band of input-pI where both committed subgroups are present, at input-pI = 0 and slightly below that. The subgroups also separate on the output-pI axis, with transition points at approximately  $\pm 0.2$ . We use these values in the definition of repolarization (see ch. 4.3.2.).



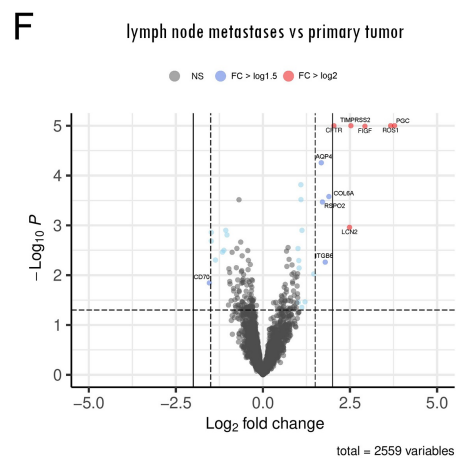
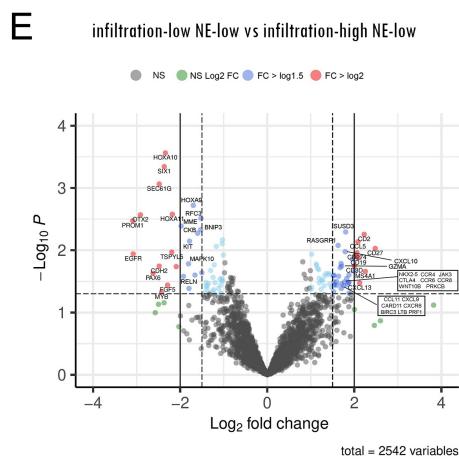
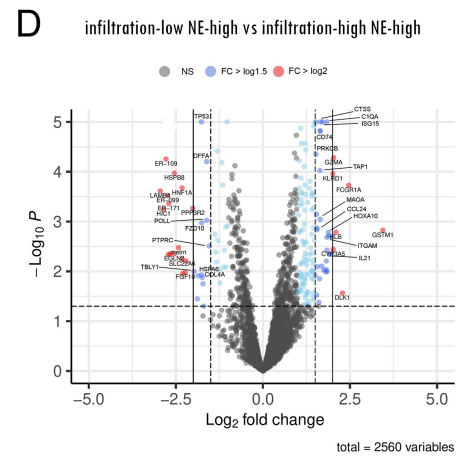
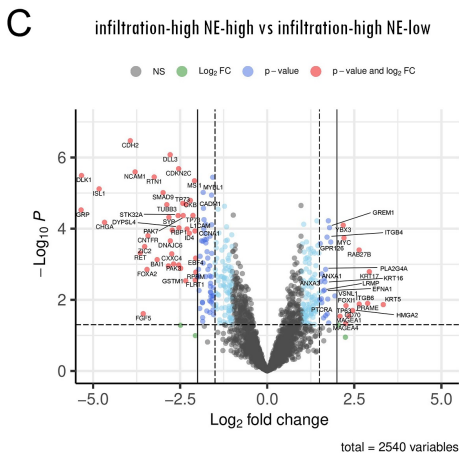
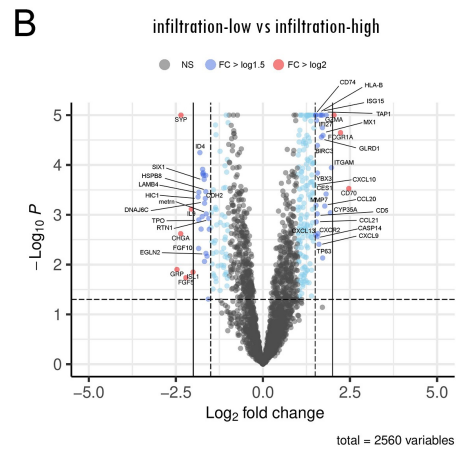
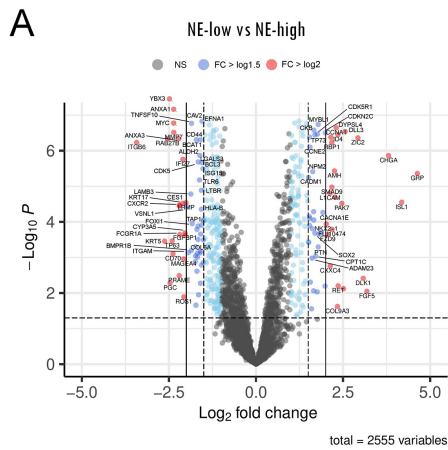
*Figure 6. Relating input and output polarization of a macrophage in the BCN model. Each instance of a unique input combination is represented by a circle. A significant moderate correlation between the two indices can be clearly observed (Spearman's  $\rho=0.555$ ,  $p=1.09 \times 10^{-42}$ ). This supports that the model does not deviate severely from our current knowledge of the high-level functions (i.e., promotion of M1-like or M2-like behavior) of these components. (Candidate's own figure, published in [189], used according to the Creative Commons Attribution (CC BY) license)*

### 4.3. Molecular Targets

#### 4.3.1. Targeted RNA seq of SCLC tissue samples

After identifying four tumor subsets (see ch. 4.), we looked for potential molecular targets by comparing gene expression values between them. To visualize particularly enhanced or repressed genes, we created volcano plots [fig. 7.]. The comparison of primary and metastatic samples shows only few points of significant difference [fig. 7. (F)], and thus for the other plots we pooled their data. To establish the differences between the major subgroups, we plotted all NE-high versus all NE-low and all infiltration-high versus all infiltration-low samples [fig. 7. (A, B)]. As an infiltration-high TME is expected to be more susceptible to immunotherapy, we were also interested if we could focus such efforts based on NE status [fig. 7. (C)]. Since the NE subtypes are already gene expression based, we also wanted to explore any more subtle differences within these groups, in relation to immune infiltration status [fig. 7. (D, E)].

The volcano plot analysis highlighted certain gene sets. In the case of NE subgroups, the following show a heightened expression compared to the other subgroup. In NE-low: ANXA1, CD44, CD70, CXCR2, FCGR1A, HLA-B, IFI27, ITGAM, ITGB6, ITGB4, KRT5, MYC, MMP7, YBX3. In NE-high: CDH2, CHGA, FGF5, GRP, ISL1, NCAM1, NKX2, SOX3, SYP. Genes in the NE-high group are mainly neural or neuroendocrine differentiation factors. The immune-infiltration based subgroups exhibit higher levels of the following genes. In infiltration-high: CD70, CXCL9, CXCR2, FCGR1A, GZMA, HLA-B, MMP7, ITGAM, IFI27, YBX3. In infiltration-low: CDH2, CHGA, FGF5, GRP, IL9, INS, ISL1, NCAM1, SYP. The NE-high and infiltration-low lists show considerable overlap. These cross-subtype similarities are further explored on the Venn diagrams of [fig. 8.]. We have collected emerging potential molecular targets that already have drugs available for them (in clinical trials or launched) in Supplementary Table S6 of [194].



◀ Figure 7. Comparing the RNA expression patterns of different SCLC subtypes with volcano plots. Between the patterns in primary sites and metastases (F) there are very few genes showing a considerable difference. Based on the level of infiltration (B) a handful of genes get highlighted but based on NE status (A) we can see a higher number of genes, many with higher fold change and significance. Contrasting subtypes based on both (C-E) yields different sets of genes. Dots represent individual genes. On the horizontal axis is the base 2 logarithm of the fold change (FC). On the vertical axis is the base 10 logarithm of the p value from a Mann-Whitney U test, multiplied by -1. Points with  $p < 0.05$  are colored based on their FC and some are also labeled. Those with  $\log_2FC > 1$  are light blue,  $> 1.5$  are blue, and  $> 2$  are red. A plot title of “A vs B” means that FC was calculated from B/A, putting the genes overexpressed in category A on the left side of the plot. (Candidate’s own figure, modified after [194], used according to the Creative Commons Attribution (CC BY) license.)

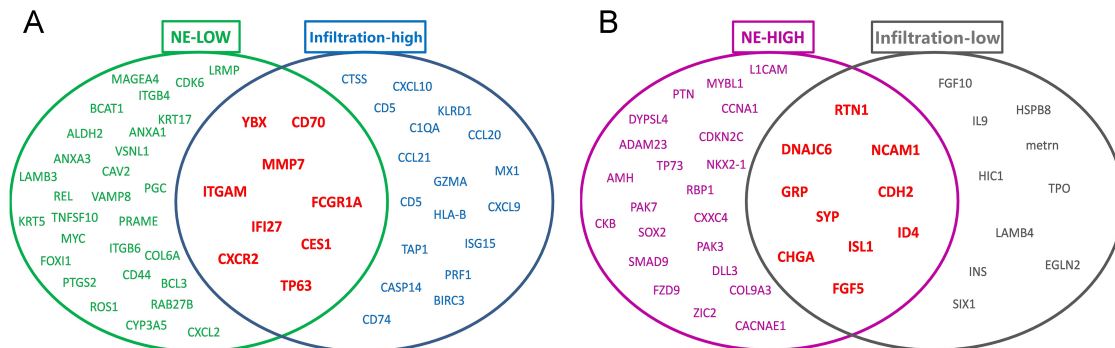
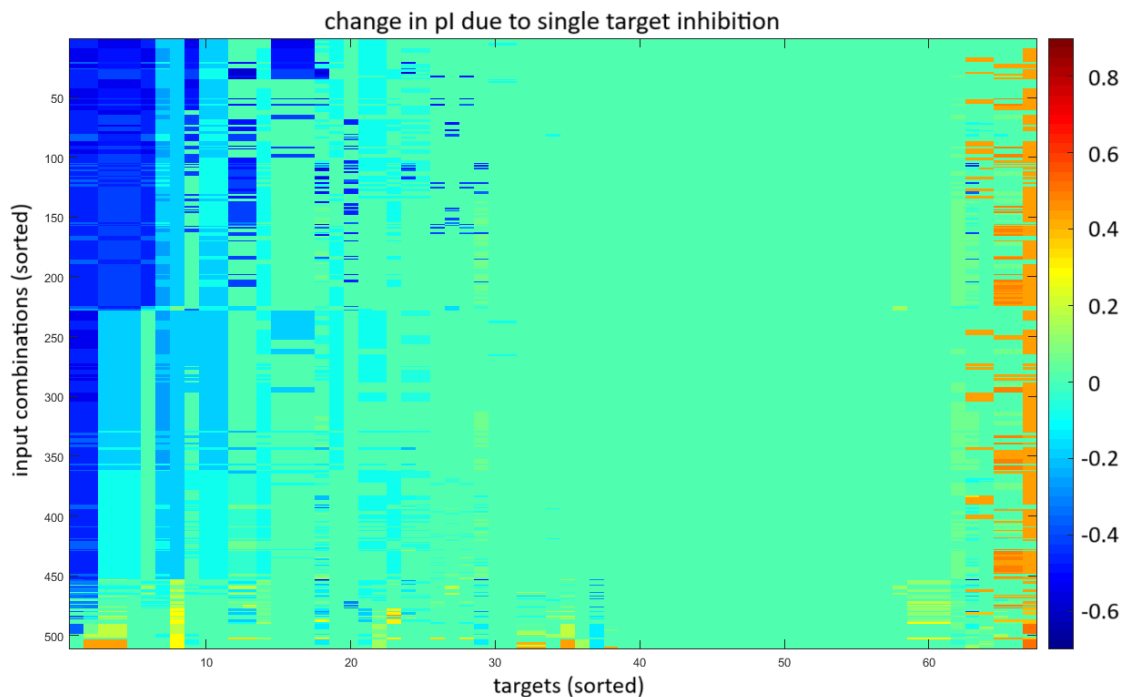


Figure 8. Genes with differential expression in SCLC subtypes by neuroendocrine type and level of immune infiltration. Genes listed in a given set are upregulated in the noted subtype, in comparison to its respective counterpart (infiltration-high vs low, NE-high vs low). (Candidate’s own figure, modified after [194], used according to the Creative Commons Attribution (CC BY) license)



### 4.3.2. *in silico* simulations

To highlight potential targets in macrophages for drugs aimed at repolarization, we have simulated therapeutic intervention by blocking proteins in the network (making the node constitutively inactive). We evaluate the effect of these perturbations by looking at the change in the polarization index. We have simulated single inhibitions [fig. 9.] and combinations of two [fig. 10.], with all possible input combinations. In the latter case we improve the characterization of the effect with the synergy index (for a description of these indices, see chs. 3.2.3.2. and 3.2.3.3.).



*Figure 9. Effects of single-target inhibition on the polarization index. On the horizontal axis we see the 67 potential target nodes. Input and output nodes were not included, with the exception of the TLR receptors. On the vertical axis are listed all 511 input combinations. The order of entities on both axes has been chosen for presentation purposes of this heatmap, and are based on the first principal component of the data shown here. Two regions of interest (ROI) are highlighted. ROI#1 contains instances predominantly shifted toward an M2-like state, while ROI#2 contains ones shifted toward an M1-like state by the perturbation. However, both contain a considerable amount of essentially unperturbed instances, and cover a rather small portion of targets (approx. 30% and 7%, respectively). (Candidate's own figure, published in [189], used according to the Creative Commons Attribution (CC BY) license)*

We also need a numerical definition of repolarization. We have seen in [fig. 6.] that  $\pm 0.2$  is a pI threshold that roughly separates groupings of more extremely polarized states from those with no clear direction of polarization. Thus, we consider a perturbation to have caused repolarization if both the unperturbed and perturbed states reach an attractor that has a pI with an absolute value  $>0.2$  and they have opposite signs. This necessitates a change in the polarization index ( $\Delta pI$ ) of over 0.4, but most of the perturbations showing such a great shift fail to affect repolarization.

In the case of single target inhibition, we have examined a total of 34 237 cases (67 targets over 511 input combinations). There are quite a few that show  $\text{abs}(\Delta pI) > 0.4$ ; we see 790 cases with a shift towards an inflammatory state and 2210 in the opposite direction. These constitute 2.31% and 6.46% of all cases, respectively. However, of these perturbations with considerable effect, only a total of 4 repolarize the cell, all of them towards an M1-like state and none in the other direction.

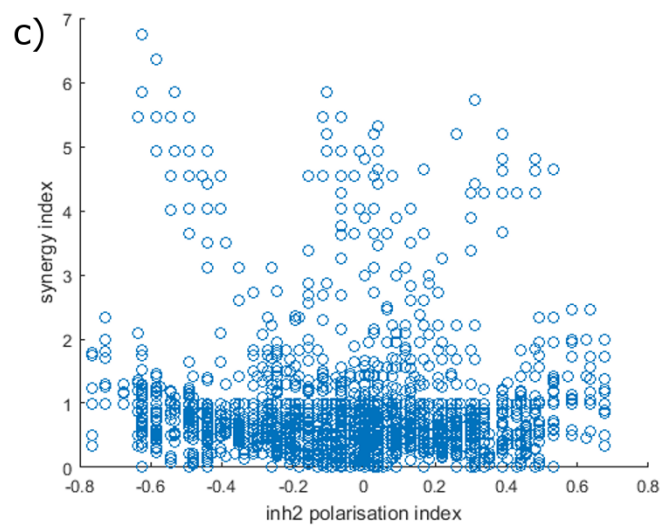
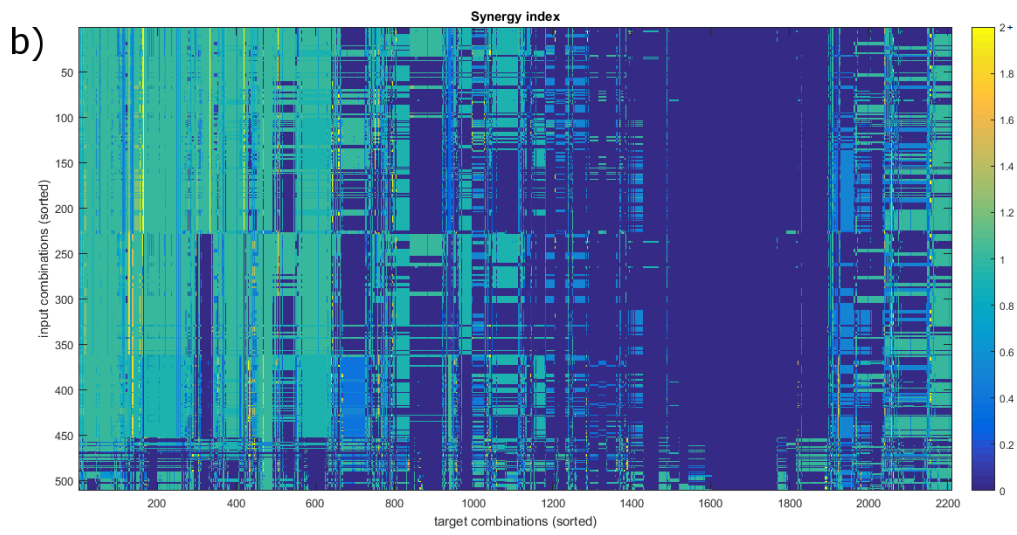
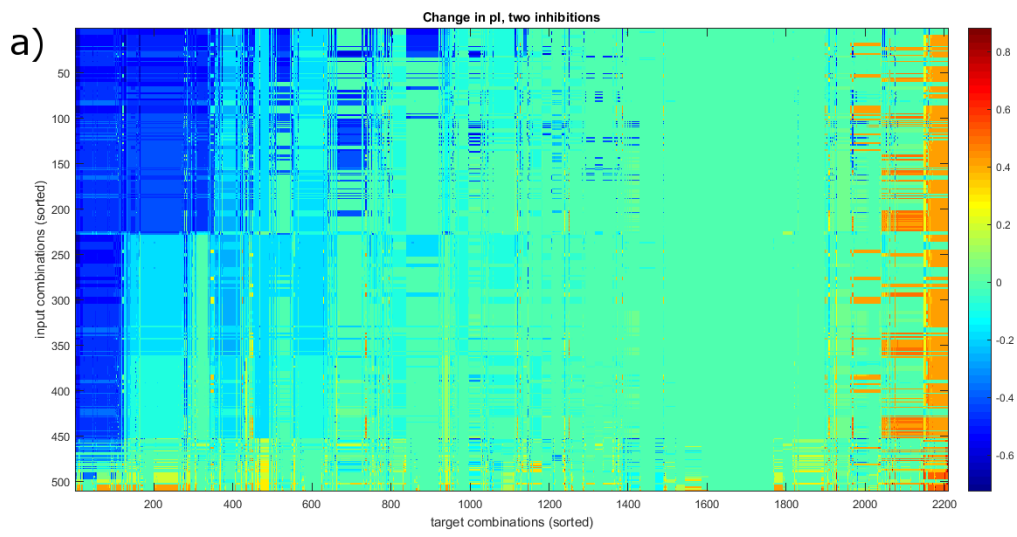
We see a different outcome with the simultaneous inhibition of two targets. Among the 1,129,821 possible cases (2211 target combinations over 511 input combinations) we have checked, we have found a total of 528 that result in repolarization. Of these 326 are towards an M1-like state and 202 towards an M2-like one. Given the sheer number of possibilities, this is still limited, comprising 0.79% and 0.16% of cases with an  $\text{abs}(pI) > 0.4$ , respectively, but is a useful amount from a translational standpoint.

To further characterize these combinations, we calculate their synergy index (defined with Eq. 3. in ch. 3.2.3.3.) [fig. 10.]. The value of  $\text{synI}$  is indicative of the relationship between the pathways affected by the perturbations. Two completely independent pathways would result in a  $\text{synI}$  of 1. This is the result in 23.14% of the cases. If the index drops below 1, the targets are likely to be a part of the same pathway(s), serially connected, making blocking both of them at least partially redundant. This is the most common relationship, and we see this in 75.10% of cases. A synergy index over 1 indicates convergent pathways, creating effects that neither single inhibition could achieve alone. Only 1.76% exhibit such a true synergistic relationship.

Combinations with a repolarizing effect toward an M2-like state show a majorly different distribution of these categories. 200 of the 202 cases have a  $\text{synI}$  over 1. Most of these involve blocking NFAT5, even though that in itself has negligible impact, with a  $\Delta pI$  just above -0.1. Even the targets that comprise the other half of these combinations

only shift the system to around  $pI=0$ . In the case of repolarizing toward an M1-like state, true synergistic combinations are rarer. The only node that can cause repolarization as a single target, STAT6, is part of 75.5% of all combinations that can achieve the same. But even in this case we see an increase in effectiveness. STAT6 alone can cause repolarization for only 4 input combinations (18% of inputs that lead to an M2-like end state), but combinations widen this range. Simultaneous inhibition of STK4 is effective for 10 inputs (45%) and blocking Sp1 leads to repolarization for 14 inputs (64%). Considering all dual inhibitions, 18 (82%) of inputs can be shifted into an M1-like polarization. We also have to note that in the few combinations that show synergy, there are some striking cases. Like JAK1 and JAK3 (or their complexes with IL4R), that alone have next to no impact on polarization (with a  $\Delta pI$  of +0.05 and 0, respectively), but together can lead to a total  $\Delta pI$  of +0.57 for certain inputs (either IL-10 or IL-1 combined with IL-4). The above also results in a distribution of  $\text{synI}$  different from both that of all combinations and those repolarizing in the other direction. The dominant category is  $\text{synI}=1$ , covering 77.9% of combinations (254). The ratio of  $\text{synI}>1$  combinations is still higher than without filtering, but only reaches 11.7% (38) of repolarizing combinations.

All repolarizing combinations are listed in Supplementary Table S4 of [189].



◀ *Figure 10. Perturbation of the system by simultaneous inhibition of two nodes. a) Shift in the polarization index. On the horizontal axis we see the 2211 potential target node combinations. Input and output nodes were not included, with the exception of the TLR receptors. On the vertical axis are listed all 511 input combinations. The order of entities on both axes has been chosen for presentation purposes of this heatmap, and are based on the first principal component of the data shown here. ROI-s are chosen as they were for fig. 9., showing combinations that push the model mainly in one direction. They are somewhat wider (37% and 11%, respectively), than in the case of single inhibitions, but not considerably. b) Heatmap of the synergy index. Axes and their sorting is the same as in a). The previously observable ROI-s are unclear here, high synergy instances do not necessarily overlap with those of high  $\Delta pI$ . We can only distinguish an inert zone (highlighted), with no changes based on both  $\Delta pI$  and  $\text{synI}$ . c) Plotting  $\text{synI}$  versus  $pI$ . The apparent upper limit (most observable below  $-0.2 pI$ ) is an artifact of the  $\tau$  adjustment parameter (see Eq.3. in 3.2.3.3.) not permitting  $\text{synI}$  to rise above  $\Delta pI/\tau$ . (Candidate's own figure, published in [189], used according to the Creative Commons Attribution (CC BY) license)*

#### *4.3.3. Drug repositioning data collation tool: EZCancerTarget*

Our drug repositioning data collation tool is online and available to researchers. It aids in the acquisition and structuring of data stored in disparate large databases, greatly reducing the time and effort necessary to perform a thorough search. It also helps in avoiding errors that manual data entry can bring about. As it collects information during runtime, the results will always be up to date. Our novel approach of presenting data on both compounds and their biological targets side by side in the same data structure shortens the gap between these two sides of drug repurposing, usually detailed in separate databases.

The workflow is started on the project's Github page (<https://github.com/cycle20/EZCancerTarget>). To avoid problems stemming from multiple simultaneous users, those wishing to use the utility remotely (via the Google spreadsheet for input) have to receive authorization from the project administrator. It can also be installed locally, for which detailed guidance can be found at the link above. The spreadsheet includes links, with which users can start the script, follow progress and view the resulting web page [fig. 11.]. Information collecting and rendering the results takes approximately 30 minutes.

Drug Targets - Has CLUE.IO Entries Created at 2023-01-02 21:31:22 UTC

GeneCards UniProtKB DrugBank Target Search

MYC :: Compounds

Compound	MoA <sup>†</sup>	Clinical Status	Resources <sup>†</sup>	DrugBank/PubChem/ ChEMBL
AZD5153	bromodomain inhibitor	Phase 1	<a href="#">From PubMed: PubMed 33574760</a> <a href="#">CLUE.IO</a> <a href="#">ClinicalTrials</a>	<a href="#">CHEMBL4078100</a> <a href="#">PubChem: 118693659</a>
mivebresib	bromodomain inhibitor	Phase 1	<a href="#">From PubMed: PubMed 33934351</a> <a href="#">PubMed 31420359</a> <a href="#">PubMed 34591474</a> <a href="#">CLUE.IO</a> <a href="#">ClinicalTrials</a>	<a href="#">CHEMBL3987016</a> <a href="#">PubChem??mivebresib??</a>
TWS-119	glycogen synthase kinase inhibitor	Preclinical	<a href="#">From PubMed: PubMed 33582150</a> <a href="#">CLUE.IO</a>	<a href="#">CHEMBL405759</a> <a href="#">PubChem??TWS-119??</a>

MYC [SCLC NE-LOW]

ROS1 [SCLC NE-LOW]

AR [TNBC]

ATR [TNBC]

CHEK1 [TNBC]

CXCL2 [TNBC]

CXCR4 [TNBC]

MYC :: STRING

MYC :: Molecular Functions / Subcellular Locations / Biological Processes

MYC :: Pathways

Figure 11. Example results page of EZCancerTarget. On the left side, targets used as input are listed, along with a user-defined label in brackets (optional). Clicking on one will bring up search results on the right. At the top outgoing links are given to other resources on the target. Compounds related to the target are listed along with mode of action (MoA) and clinical status. These can be explored further through the links in the last two columns. Additional data can be accessed by clicking the options below. (Candidate's own figure.)

## 5. Discussion

Small cell lung cancer (SCLC) is a highly progressive type of malignancy and advancements in its therapy have been unfortunately lacking. We believe that bridging the gap between knowledge gained from biological research and applied techniques in clinical practice is paramount.

As our understanding of tumor biology grows, the importance of the interplay between the immune system and tumor cells in the tumor microenvironment (TME) becomes ever more apparent. Cells of both lymphocytic and myeloid lineage appear in the TME, and their presence can be a boon as much as a bane [201–203]. However, the immune contexture (types, amount and localization of immune cells) of the TME is not fixed, as evidenced by its modulation by the cancer itself. This is accomplished through secretion of small molecules (like cytokines and chemokines) and other bioactive chemicals (e.g., reactive oxygen species (ROS)) and taking advantage of environmental factors (like hypoxic conditions). These can enable the tumor to not only neutralize particular immune cells, but to hinder immune clearance as a whole [204,205]. This also presents a potential new angle of attack for intervention that in recent years has garnered considerable attention in translational medicine and shows promising results [206,207].

Continuing previously published work from our group [111], we have further characterized the immune cell content of limited-stage (LS) SCLC using resected tissue samples [194]. Compared to immune cell densities reported in other types of lung cancer [208], we found that immune infiltration in SCLC is low not only for lymphocytes [111], but also myeloid cells, regardless of tumor compartment. We have also explored the association of neuroendocrine (NE) marker expression and overall immune infiltration (CD45<sup>+</sup> level). In the earlier results of the group from 2020, we saw that both overall infiltration, and specifically T lymphocytes (CD3<sup>+</sup>, CD8<sup>+</sup>), are significantly elevated in NE-low tumors, and in the stroma compartment [111]. Here we show that while there is some level of association between NE status and level of infiltration (most cases being either NE-low/infiltration-high or NE-high/infiltration-low), a considerable number of cases are either NE-low/infiltration-low or NE-high/infiltration-high. This could provide an explanation to the observation that subtype status alone is not a significant predictor of OS, in patients who underwent resection followed by adjuvant chemotherapy with or without thoracic irradiation [125]. Thus, genetic markers and immune contexture both

need to be taken into account separately for an accurate description of SCLC subtype for clinical purposes.

Here, we have shown that CD68<sup>+</sup> TAM-s are the most numerous leukocytes of the SCLC TME. Even compared to CD3<sup>+</sup> T-cells, their density is approximately two times higher [111]. Following the trends of overall infiltration [111], their numbers are significantly higher in the stroma (vs. the tumor nest), and in primary NE-low tumors (vs. primary NE-high). Another major group of myeloid cells, CD33<sup>+</sup> MDSC, are present only in the stroma, and in very small numbers, with a slight increase in NE-low tumors. This is in direct contrast with results from NSCLC, where high levels of MDSC-s were reported [209]. We have also observed that while the density of T-cells correlates highly with the level of overall immune infiltration in all compartments and subtypes, in the case of TAM-s, this linkage is restricted to primary tumor nests, hinting at additional mechanisms affecting their population.

Others have attempted to quantify immune cell populations in SCLC with single cell RNA sequencing [210–212]. While these studies report a higher T cell to myeloid cell ratio than our IHC results, this is likely due to the fact that nearly all of their samples show an NE-high phenotype (positive for ASCL1 and/or NEUROD1). We have seen that cells of monocytic origin (CD68<sup>+</sup> and CD163<sup>+</sup>) are more numerous in NE-low tumors. Single cell analysis reveals the important fact that there is intratumoral heterogeneity in the expression of subtype markers, with one study linking tumor cells with an NE-low phenotype in otherwise mostly NE-high samples with stemness characteristics [211]. Further exploration of NE-low tumors with single cell resolution would be necessary to gain a comprehensive understanding of SCLC features.

In addition to their numerically superior presence in SCLC, macrophages exhibit an outstanding plasticity of function, accessed via a process called polarization. The result of this is nuanced, but two main directions can be recognized: an M1-like direction that promotes inflammation and an aggressive immune response, and an M2-like direction that coordinates tissue repair and angiogenesis. This ability to adopt either tumoricidal or immunosuppressive functionality might explain why overall TAM infiltration level does not associate with OS [15]. In relation to SCLC subtypes, we observed that in primary NE-low tumors the amount of TAM-s showing an M2-like polarization (CD163<sup>+</sup>) is



significantly higher. This might counteract the effects of the overall higher infiltration in this subtype.

These results highlight macrophages as key players in the SCLC TME and promising targets for immunotherapy. While therapies attempting to lower the number of M2-like, negatively modulated cells, either by impeding their recruitment or directly affecting depletion [213], have merit, repolarizing immunosuppressive TAM-s would have a much more potent effect by also introducing additional tumoricidal immune cells to the TME [214].

To better understand macrophage function and to find ways to affect their polarization status, we have established a computational network model of intracellular events in the early stages of polarization [189]. Interactions were assembled based on PPI databases, and then were curated and expanded with a systematic search of available literature. It is validated against published primary research results that measured the connections between our inputs and outputs and displays the properties that can be expected of such a network. We have used this network to investigate the system both unperturbed and with modifications simulating small inhibitory molecules used as drugs. With these we have observed that monotherapies are not well suited to achieving repolarization, but the combined inhibition of two targets presents multiple effective options that in most cases are based on a synergistic effect, i.e., the combination being more potent than the sum of their components. This observation is in keeping with the previous results of Fumia and Martens, who found in their model of tumor cells that single-target interventions are ineffective at changing the state of the cell [193]. We have also investigated the level of synergy between targets in our dual inhibition scenarios, as it is indicative of their relative position in the network. We observe that the pathways responsible for different polarizations differ not only in the identity of their members but follow a different logic and network architecture. Pathways promoting an M1-like state show a convergent structure, while those promoting an M2-like state rely more on parallel lines of signal transduction.

In order to functionally model signal transduction events, our model includes directed and signed edges. We had to collect the necessary data for this manually from the literature, as the available extensive databases like STRING [190] or HPRD [167] do not contain this kind of information, as they rely on high-throughput techniques that do not

provide these parameters. Indeed, most PPI-based network models are undirected and unsigned. There have been recent attempts at developing an automated method to predict these attributes, and they have shown that including edge direction and sign information enhances the performance of drug target and cancer driver mutation predictions [215,216].

We have aimed to create our output such that it can work with various methods of interpretation and is not limited to what we present in conjunction with the model. This is a break from the norm of hardwiring the states of interest into the model structure as a few nodes, usually with a relatively low degree [193,217,218]. Our 27-node gene expression pattern is able to represent a large variety of subpopulations, in accordance with the spectrum model of macrophage polarization [155]. Its flexibility also makes it easier to expand or modify to incorporate new data, or to integrate it into a larger scale model.

Others have also published *in silico* models of intracellular processes inside macrophages. Palma and colleagues presented a simple model with 14 inner nodes [219], which was then extended later to 21 nodes by another team [218]. As they span a similar functional space as our model, this also means that they often include indirect interactions as edges and exclude components based on their perceived importance. In order to include all possible targets and to create a more detailed model, we have incorporated 92 intracellular proteins, grouped into 64 inner nodes in our system and allow indirect interactions very sparingly. There are two more recent models that have a high level of detail [220,221], but both limit their analysis to single-node inhibition. As we have shown, targets that are effective as part of a combination would often be overlooked by screening for individual targets. Single-target inhibition also differs more from real world situations, both because of treatment practices, and because perfectly single-target small molecule inhibitors are practically nonexistent.

In our search for molecular targets, we first focused on the SCLC cells themselves. We have categorized our samples on the NE-high/low and infiltration-high/low axes and compared the expression profiles of the resulting subgroups. The expression of Annexin A1 (ANXA1) is heavily upregulated in NE-low tumors but shows no association with immune infiltration status. ANXA1 contributes to immunosuppression, with an effect that resembles glucocorticoids, positively affecting TGF $\beta$  signaling and wound healing, and suppressing inflammation [222]. Its upregulation, and its correlation with a

poor prognosis has been shown in other types of cancer too: in gastric cancer [223], in hepatocellular carcinoma [224], in melanoma [225], and in adenocarcinoma of the lung [226]. In breast [227] and colorectal [228] cancer high ANXA1 expression has been linked to an increased resistance to chemoradiotherapy. In addition, there is evidence of its involvement in tumor invasion and metastasis, through the role it plays in macrophage polarization and epithelial-mesenchymal transition [229,230]. On the molecular level, this involves an enhancement of CXCR4 and matrix metalloproteinase (MMP) expression, via an increase in I $\kappa$ B kinase (IKK) and thus NF $\kappa$ B activity [231]. Our own findings also support this: we have observed a higher expression of ANXA1 in tumors with a high density of CD163<sup>+</sup> (M2 TAM) cells [194].

Our analysis of gene expression patterns has also highlighted the receptor-ligand pair of CD27 and CD70, both showing upregulation in the NE-low and infiltration-high categories. CD27 is a member of the TNF receptor superfamily that promotes T-cell proliferation by activating the NF $\kappa$ B pathway [232]. The expression of its natural ligand, CD70, is a main factor in the regulation of CD27 activity, and is therefore controlled and transient, appearing mostly on subpopulations of activated lymphocytes in physiological conditions [233–235]. Expression of CD70 has been observed in glioblastoma [236], non-Hodgkin lymphoma [237], renal cell carcinoma [238], and NSCLC [239]. It was also shown that the presence of CD70 on tumor cells increases the presence of regulatory T-cells (Treg), and thus supports tumor growth, by supporting their survival [240], and by inducing regulatory function in CD25<sup>-</sup> T-cells through activation of FoxP3 [237].

There are a number of other genes that our search has highlighted as being differentially expressed in NE-low tumors and there is evidence in the literature corroborating their involvement in the TME and tumor progression. CXCR2 has been linked to poor prognosis in NSCLC [241,242]. Also in NSCLC, MMP7 level has been reported to be associated with proliferation of tumor, resistance to chemotherapy and unfavorable prognosis [243]. Our data shows that MMP7 is more prevalent in NE-low tumors, but is not linked to the level of immune infiltration. ITGB6 associates with ITGAV to form a receptor recognizing, among others, fibronectin and latency associated peptide (LAP), able to promote tumor cell invasion [244] and immunosuppression through the release of TGF $\beta$  [245]. We also have to mention TP63, a protein associated

with the cell cycle, that has shown high expression in our study, but has been reported as a tumor suppressor in NSCLC [246].

We have also identified proteins differentially expressed in NE-low tumors that have not yet been connected to cancer, but based on their known functions their involvement is likely, making them promising targets of future research. The nucleotide-binding protein YBX3 is described in relation to cold shock, but also binds to and represses the promoter of GM-CSF [247], and can thus potentially influence TAM behavior. High-affinity IgG Fc receptor 1A (FCGR1A) levels have not been reported before in cancer, and here we show high relative expression in both NE-low and infiltration-high tumors. The receptor is involved in antigen presentation and is reported to be of import in monoclonal antibody therapies [248].

Using our computational model, we have investigated the possibility of targeting proteins in macrophages to counteract the immunosuppressive effects of the TME that push their polarization in an M2-like direction. We have observed that inhibition of multiple targets simultaneously outperforms single-target approaches, often in a synergistic manner, i.e., proving more effective than the sum of individual effects. As part of combinatorial target-pairs, STAT6, JAK1 and JAK3 emerged as primary candidates, with Tyk2, STK4 and Sp1 also showing potential to a lesser extent.

STAT6 is a major transcription factor, and the only target whose inhibition could create repolarization in our model as a single target. There are molecules already in use in oncology, imatinib [249] and gefitinib [250], that have been shown to influence STAT6 phosphorylation and polarization in TAM. There is also one study using STAT6 inhibition as part of combinatorial therapy [251]. Their secondary target is IKK $\beta$ , and they show that simultaneous inhibition is more effective in creating repolarization, though the effect is not necessarily synergistic, which is in line with the prediction of our model (see Supplementary Table S4 of [189]).

We have seen in our simulations that the inhibition of JAK family members by themselves can have a limited effect on polarization, affecting repolarization only when paired with another target. One explanation would be that their function is context-dependent and can simultaneously affect pathways acting cross-purposes. This is supported by the results of previous efforts at targeting the family with therapeutics. Tofacitinib targets JAK1 and JAK3 (with a minor effect on JAK2), has been tested for use

in rheumatoid arthritis and other inflammatory diseases [252], and shows anti-inflammatory effects, via a modulation of immune cells. In the case of macrophages, it is most likely that these are achieved by interfering with the JAK1-STAT1 interaction, without much contribution via JAK3 [253]. In contrast to this, in other scenarios the same drug has shown an ability to block STAT6 function [254], and anti-angiogenic effects [255], both indicating a shift towards M1-like functions from a macrophage perspective.

Less is known about the other two targets in this context. Modulation of STK4 function has shown contradicting results. Both inhibition by malibatol A [256], and activation with adapalene [257] was reported to create a shift toward anti-inflammatory functions in macrophages. Sp1 was explored as a target in multiple forms of cancer [258–260], but its connection with or effect on TAM-s was not investigated.

As it might be of importance in inflammatory diseases, we have also searched for targets that would push the system in the other direction, toward an M2-like state, and NFAT5 has emerged as a protein of interest. Arctigenin has been shown to inhibit NFAT5 and have an anti-inflammatory effect through the modulation of cardiac macrophage function [261]. In our model, individual inhibition of NFAT5 was insufficient to affect repolarization. This discrepancy might be due to our exclusion of feedback routes. As a secondary effect, dependent on the inhibition of NFAT5, a decrease in the phosphorylation of STAT1 and JAK2 has also been reported [261], which might strengthen the overall impact of the drug. Based on the localization and function of these proteins, the most likely path of effect between them and NFAT5 would be via the modulation of the gene expression of a third element, creating a (possibly autocrine) loop.

According to the Essential Genes database of the International Mouse Phenotyping Consortium [262,263], KO of the targets we have identified in both studies is not cytotoxic or lethal, thus their inhibition via drugs should be viable. They had no data available for CD70, but double knockout mice have been created [264] and no systemic defects were reported.

We also have to consider the limitations of our work. The *in vitro* studies were retrospective, and we do not have access to sufficient data on outcomes. Thus, we are unable to link our findings with prognoses. In addition, our data comes exclusively from limited-stage SCLC, and thus our results are not necessarily descriptive of advanced-stage

malignancies. However, surgical resection is not recommended in extended stage disease, lowering sample availability. Tissue samples acquired by bronchoscopy are prohibitively small. Post mortem sample collection brings in biases and cohort homogeneity is low (e.g., in terms of treatments received). The cohort size and the individual sample sizes are also not extensive. The genes ASCL1, NEUROD1, POU2F3 and YAP1 were not yet known as subtype markers during the data collection phase of our study and thus were not included in the genetic panel used. However, they show substantial overlap with NE status [17], and the low cohort size would preclude us from drawing statistically significant conclusions from the 4 genetic [183] or 7 histologic subtypes [15] defined by them. To provide a thorough characterization of the SCLC subsets we identified, especially on the genetic level, further studies with higher case numbers will be necessary. Targets identified on the transcriptomic level will need to be confirmed on a proteomic level with *in situ* experiments before use in pharmacological studies.

In the case of the *in silico* model, its design constricts what aspects of polarization it can handle. The BCN presented ignores questions of time altogether. The speed of interactions is presumed the same by omission of a parameter to account for it, due to this information being inaccessible on the scale of our model. Beyond not being able to predict the speed of reaching a polarized state, this also makes it impossible to represent certain signaling circuits that depend on signal duration, like the interaction of IL-10 and IL-6 [265]. We assume that gene expression is slower than signal transduction by orders of magnitude and limit our system to the early response by excluding events requiring protein synthesis. Some of these are known to create feedback loops and affect subsystems not exhaustively represented in our network. One such is the metabolic state of the macrophage, known to be involved in polarization [266] and interactions with other parts of the TME [267]. Using binary values to represent node activity means that encoding intensity levels would be problematic, and the current model ignores them entirely. We also did not consider the possibility of activating proteins with an intervention [268]. Due to the low intensity resolution and the lack of a time scale, we cannot draw conclusions about the *in vivo* stability of the resulting state, and we also do not gain insight into mechanisms that adjust the longevity of it. In addition, verification is limited by the available data. The majority of experiments we utilize connect one input with one output, an approach taken by others facing similar issues too [269]. To be more

thorough, combinations of both inputs and outputs would have to be considered, but such data is not available at the time of writing and performing a series of systematically chosen high-throughput *in vitro* experiments to provide them is out of the scope of this project.

## 6. Conclusions

- CD33<sup>+</sup> MDSC in the stroma, and CD163<sup>+</sup> M2-like TAM cells in the tumor nest are significantly more prevalent in NE-low SCLC, compared to NE-high, establishing an immunosuppressive TME with potential future therapeutic applications.
- In primary tumors, leukocyte numbers correlate with both macrophage markers (CD68 and CD163) in the nest, but in the stroma only with CD163, i.e., M2-like cells, implying distinct mechanisms of colonization in different tumor compartments.
- Interventions aimed at tipping the balance of immune infiltration and suppression are expected to be particularly effective in CD68- and CD163-high subsets of NE-low tumors. We identified CD70, ANXA1, FCGR1A, ITGB6, MMP7, YBX3 and CXCR2 as potential targets for this purpose.
- NE-low and infiltration-high tumors showed highly similar expression profiles at both primary sites and lymph node metastases. Smaller subgroups of NE-high, but phenotypically infiltration-high and NE-low, but phenotypically infiltration-low tumors exist, with distinct expression profiles.
- Our *in silico* model can replicate experimentally observed cell behavior in its scope and shows the expected characteristics of a complete network encompassing the selected pathways involved in macrophage polarization.
- When aiming to affect repolarization, interventions designed against a single target are suboptimal compared to treatments simultaneously targeting two intracellular actors. Individual targets in combination therapies might not show a significant effect on cellular state on their own.
- When attempting to repolarize cells into an M1-like state, our model highlights STAT6, JAK1 and JAK3, and to a lesser extent Tyk2, STK4 and Sp1 as potential targets. When trying to push the system toward an M2-like state, NFAT5 emerges as a point of interest.
- We created a platform for drug repurposing in oncopharmacology that is accessible and expandable by the international research community, intended to aid research into SCLC and other highly aggressive malignancies.



## 7. Summary

Translational research of the tumor microenvironment (TME) is an emerging field of study that has resulted in therapeutic developments in multiple types of cancers recently. Our understanding of the TME of small cell lung cancer (SCLC) is incomplete, severely limiting therapeutic prospects and the application of the newest advances in the field. We aimed to gain new insights about the immune cells in the SCLC TME, with special attention to tumor-associated macrophages (TAMs) and their polarization state, to reveal molecular targets for therapeutic intervention.

First, we characterized the cellular environment regulating anti-tumor immunity in limited-stage neuroendocrine (NE)-high and NE-low SCLC subsets, including lymph node metastases. Immunohistochemical labeling and cell counting on TMAs (tissue microarrays) showed that overall immune-infiltration is low, and that TAMs are the most abundant cell type in the tumor nest TME, exceeding CD3<sup>+</sup> T-cells. Also, the amount of CD163<sup>+</sup> M2-polarized TAMs is significantly higher in NE-low (vs. NE-high) tumor nests. TAM density shows a strong positive correlation with CD45 and CD3 in primary tumor nests, but not in the stroma. We identified potential molecular targets based on our expression data in NE-low and infiltration-high tumor subsets.

Next, we propose an *in silico* approach aimed at understanding the intracellular systems driving polarization and their dependence on extracellular cues. We create and verify a Boolean Control Network model, connecting extracellular signals with the gene transcription to model the early response in macrophages. We observe that inflammatory and regenerative pathways show a difference in architecture. Based on simulations of therapeutic intervention, we conclude that inhibition of single targets is insufficient to change an established polarization in most cases. Inhibition of multiple targets (often with an individually weak effect) is necessary, with STAT6, JAK1 and JAK3 emerging as important targets to push toward M1 and NFAT5 toward M2.

To facilitate the use of large databases we present a novel, open access platform aimed at drug repurposing that we call EZCancerTarget, aggregating data from databases such as PubChem, DrugBank, PubMed, and EMA, complete with biological background information and literature citations for every target from UniProt, String, GeneCards and more. The content of the platform can be expanded or replaced by users to suit their purposes.

## 7.1. Összefoglalás (Summary in Hungarian)

A tumor mikrokörnyezetre (TME) fókuszáló transzlációs kutatások újszerű megközelítést jelentenek és több ráktípusban is terápiás lehetőségeket tártak fel. A kissejtes tüdőrák (SCLC) mikrokörnyezetének megismerése segíthet új terápiás célpontok azonosításában. Kutatásunk az SCLC TME megértését célozta, különös tekintettel altípusaira, az infiltráló makrofágokra (TAM) és azok polarizációjára.

Először a tumorelles immunválaszért felelős immunsejtek előfordulását vizsgáltuk neuroendokrin markerekben (NE) magas és NE-alacsony, limitált stádiumú SCLC-ben és nyirokcsomó áttéteiben. Immunhisztokémiával jelölt szövetmintákon kimutattuk, hogy az SCLC egy alacsony immun infiltráltsággal jellemzhető daganat. Ebben a kontextusban fontos megállapításunk hogy más daganatokkal szemben a TAM-ok alkotják a legnépesebb populációt, megelőzve a CD3<sup>+</sup> sejteket is. Az M2 polarizált CD163<sup>+</sup> sejtek száma szignifikánsan magasabb az NE-alacsony tumor fészkekben. A TAM sűrűség erős pozitív korrelációt mutat a CD45 és CD3 markerekkel elsődleges tumor fészkekben, de a környező strómában nem találtunk hasonló összefüggést. Expressziós adatok alapján lehetséges farmakológiai célpontokat azonosítottunk NE-alacsony és immun-oázis tumorokban.

A makrofágok polarizációs folyamatait és azok külső jelekkel való összefüggését *in silico* megközelítéssel vizsgáltuk. Létrehoztunk és verifikáltunk egy Boolean Control Network modellt, mely összeköti a sejten kívülről érkező jeleket a korai válasz során jelentkező génexpressziós változásokkal. Megfigyeltük, hogy a proinflammatorikus és regeneratív útvonalak felépítésük jellegében is különböznek. Terápiás beavatkozásokat szimulálva arra a következtetésre jutottunk, hogy egyetlen célpont gátlása nem elégséges a polarizáció megváltoztatásához, két megfelelő (önmagában akár elenyésző hatású) célpont egyidejű gátlásával viszont elérhető. M1 irányba történő modulációnál a STAT6, JAK1 és JAK2, M2 irányba pedig az NFAT5 fehérjék szerepe emelkedett ki.

Új célpontok azonosítására irányuló kutatások támogatására és hatóanyag indikációk újratervezésére (drug repurposing) felépítettünk egy nyíltan elérhető online eszközt, az EZCancerTarget-et. Ez számos adatbázis, köztük a PubChem, DrugBank, PubMed és EMA adatait összesíti gyógyszer(jelölt)ekről és gazdagítja biológiai háttérinformációkkal célpontjaikról a UniProt, String, GeneCards és más forrásokból.

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## 9. Bibliography of the candidate's publications

### 9.1. Related to the Thesis

- **Szegvari G**, Dora D, Lohinai Z. Effective Reversal of Macrophage Polarization by Inhibitory Combinations Predicted by a Boolean Protein-Protein Interaction Model. *Biology (Basel)* [Internet]. 2023 Feb 27;12(3):376. Available from: <http://www.ncbi.nlm.nih.gov/pubmed/36979068>
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- Dora D, Dora T, **Szegvari G**, Gerdán C, Lohinai Z. EZCancerTarget: an open-access drug repurposing and data-collection tool to enhance target validation and optimize international research efforts against highly progressive cancers. *BioData Min.* 2022 Oct 1;15(1):25.

### 9.2. Other Publications

- Bátora D, Zsigmond Á, Lőrincz IZ, **Szegvári G**, Varga M, Málnási-Csizmadia A. Subcellular Dissection of a Simple Neural Circuit: Functional Domains of the Mauthner-Cell During Habituation. *Front Neural Circuits.* 2021;15:648487.
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